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*Summer Institute / HIT Series*

# Health Information Exchange

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- ◆ *School of Public Health*
- ◆ *School of Medicine*

*2 hrs / ~90 slides*

## Overview

- Introduction
- History of HIE
  - *CHIN, CHMIS, SBCCDE, EDI, ONC, NeHC, SI.Framework, HISPC, NHII/NwHIN, HIO*
- HIE Architecture
- HIE Services
- HIE Sustainability
  - *Federal (HITECH) Impact*
  - *States Impact*
- HIE Examples
  - *Indiana HIE*
  - *CRISP*
- HIE and Population Health IT
- HIE Future
- Summary

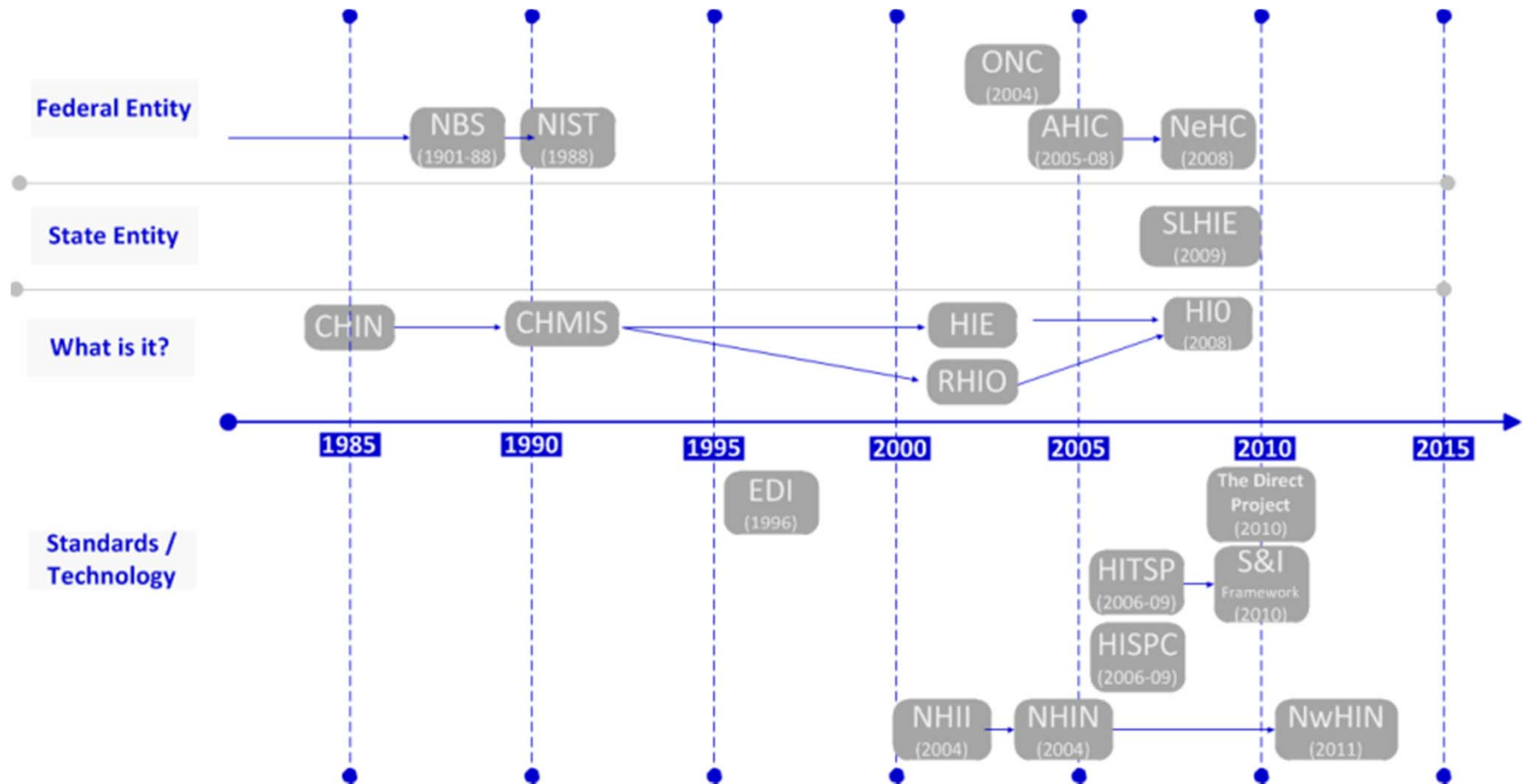


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## **History of HIE**

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# History of HIEs



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## History of HIEs → Community Health Information Networks (CHIN)

- A community health information network (CHIN) is an organizational and technical **entity designed and operated to facilitate the electronic data interchange** and integration of various types of health care information for the benefit of those organizations and health care professionals that participate in the network. (~1985)
- Most CHINs disappeared but two of CHINs did survive over time:
  - Wisconsin Health Information Network ([www.wishin.org](http://www.wishin.org))
  - Utah Health Information Network ([www.uhin.org](http://www.uhin.org))
- Main challenge for CHIN:
  - *“The real killer why CHINs did not get any further than they did is that **very few of them paid attention to sustainability**”* (Overhage)
  - CHINs received tens of millions of dollars from foundations and vendors, but **lacked a business plan** to become self-sufficient after they burned through their initial wave of funding and community enthusiasm for their projects.

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## History of HIEs → Community Health Management Info. Networks (CHMIN)

- Create both a **data network and a data repository** to measure cost and quality from competing providers in a given community. (~1991)
- **Seven states** were funded: MN, IA, OH, VT, WA, NY, TN
- MN has the most successful CHMIS: Legislation partially funded the **Minnesota Health Data Institute** as a partnership between the Commissioner of Health and a 20-member Board (with stakeholders). Law also asked for standard electronic transmissions, unique patient identifiers and privacy protections. MedNet was built in 1995 for transmitting claims, eligibility and enrollment.
- Main challenge for CHMIS:
  - VT → Difficulty arose in **securing long-term cooperation**.
  - TN → It **lacked a broad community base** of stakeholders.
  - IA → The **cost of the data repository** was problematic.
  - OH → Independent community networks **limited revenue** to CHMIS.
- General issues with CHIMS: (1) **separate network** idea instead of using current networks; (2) **Internet technology** was just becoming available; (3) no incremental steps to **generate short-term outcomes**; (4) **too many stakeholders** were involved.

## History of HIE → Santa Barbara County Care Data Exchange (SBCCDE)

- A **secure regional network** for electronically sharing healthcare data among doctors, healthcare facilities and patients. Shared patient information included **test results and reports**. (~1998-2006)
- California HealthCare Foundation funded it (\$10M).
- CareScience was the vendor (CEO David Brailer)
- Main challenge for SBCCDE:
  - **Funding**: Participating healthcare entities **did not contribute**.
  - **Vendors**: Software **development delayed**.
  - **Community**: **No demand** from local community.
  - **Governance**: Neither physicians nor hospital administrators had **control or provided input**.
  - **Legal Issues**: **No consensus** from lawyers across participating entities.
  - **Data Privacy**: Participating entities **could not find consensus**.
  - More at: [Fried BM. What killed the Santa Barbara County Care Data Exchange? iHealthbeat. March 14, 2007.](#)

## History of HIE → Electronic Data Interchange (EDI)

- Without a **standards-based approach to data sharing between organizations**, the on-going evolution of exchanging data might have remained a difficult obstacle for the entire healthcare industry.
- EDI was developed by National Institute of Standards and Technology (**NIST**) (~1996)
- In its initial stage, EDI primarily focused on financial and administrative data. As automation of clinical information has grown since 1996, a **number of bodies have continued to work on EDI** for all types of healthcare data:
  - ANSI
  - HL7
  - CAQH
  - CORE
  - Others to evolve



## History of HIE → Office of the National Coordinator for HIT (ONC)

- ONC, a principal federal entity with the Department of Health & Human Services, is charged with coordination of nationwide efforts to implement and use the most advanced health information technology and the electronic exchange of health information. (~2004 / 2009 legislated)
  
- Mission:
  - Promote development of a **nationwide Health IT infrastructure** for electronic use and exchange of information
  - Provide leadership in the development, recognition, and implementation of **standards / certification of Health IT products**
  - **Health IT policy** coordination
  - Strategic planning for **Health IT adoption** and health information exchange
  - Establish governance for the **Nationwide Health Information Network** (NwHIN)
  - More: <http://healthit.hhs.gov>



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## History of HIE → ONC *(cont.)*

### ■ ONC National Coordinators:

- David J. Brailer, MD PhD (May 2004)
- Robert M. Kolodner, MD (Sep 2006)
- David Blumenthal, MD (Mar 2009)
- Farzad Mostashari, MD MSc (Apr 2011)
- Karen DeSalvo, MD MPH MSc (Jan 2014)



D. Brailer



R. Kolodner



D. Blumenthal

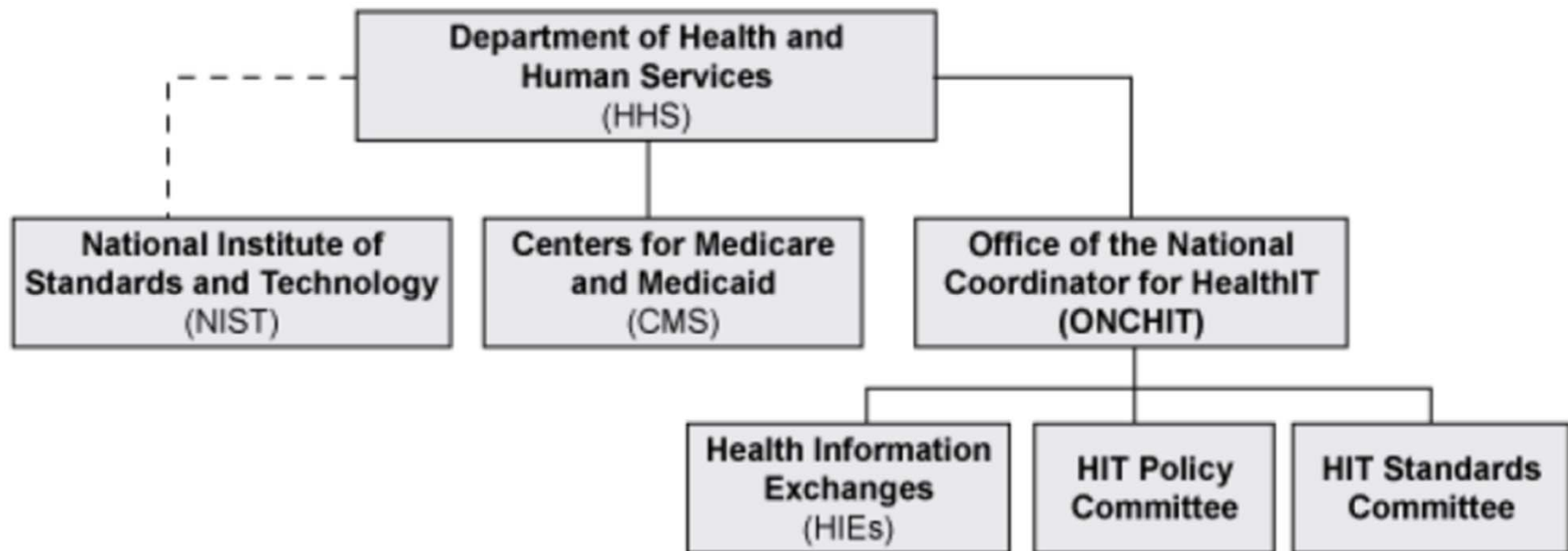


F. Mostashari



K. DeSalvo

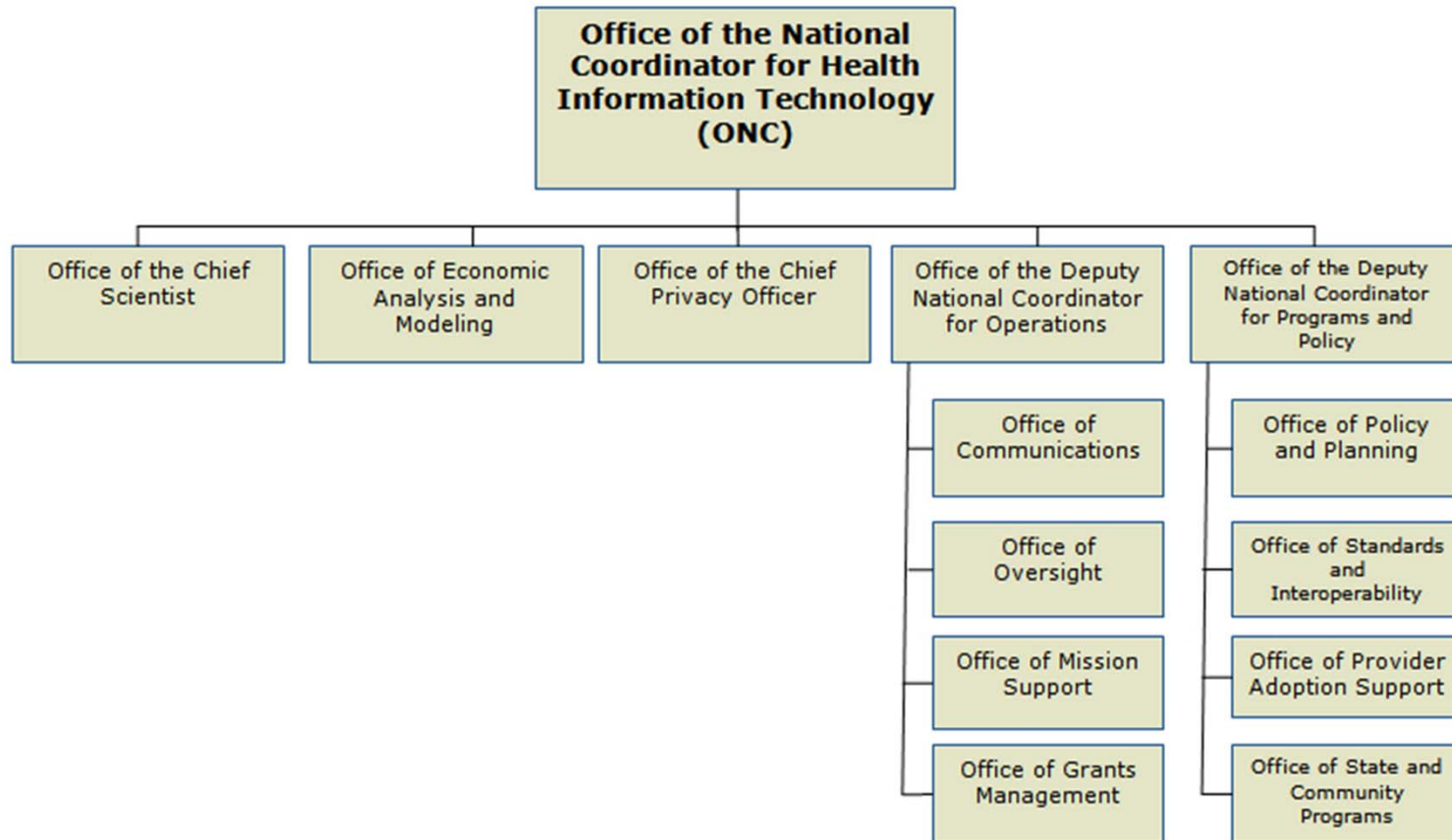
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**History of HIE → ONC** *(cont.)*

ONC relationship with DHHS

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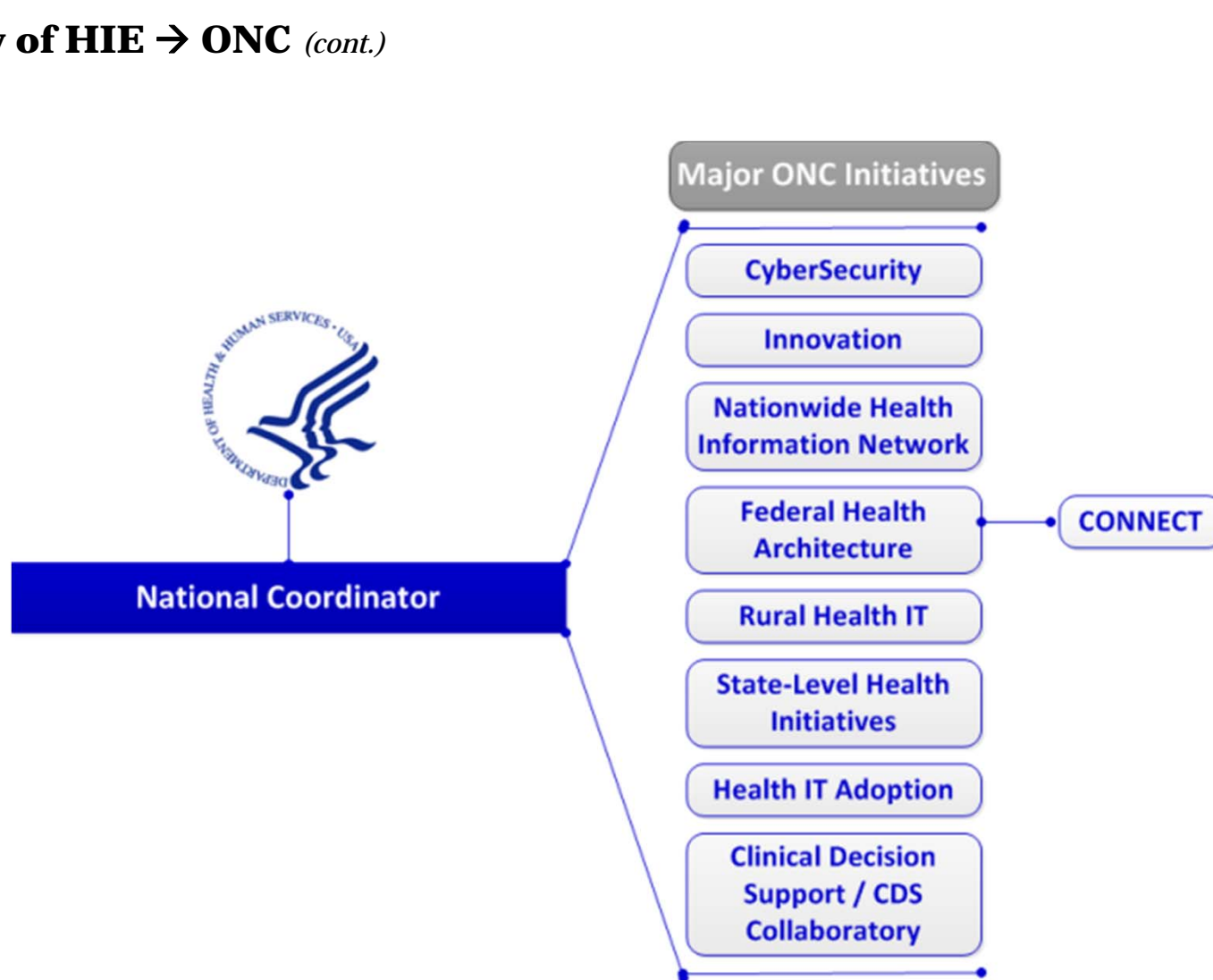
## History of HIE → ONC (cont.)



ONC Organizational Structure

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## History of HIE → ONC (cont.)



Eight Major ONC Initiatives

## History of HIE → National eHealth Collaborative (NeHC)

- A **public-private partnership** that enables secure and interoperable nationwide health information exchange to advance health and improve healthcare. (~2012)
- Mission:
  - **Address barriers** that might thwart the nation's progress toward interoperability.
  - Work to **educate, connect and encourage healthcare stakeholders** who are critical to the successful deployment of health information technology and health information exchange nationwide.
- **NeHC University** is a web-based education program designed to provide stakeholders with timely and relevant information on health information technology and health information exchange in the US.
- More: [www.nationalehealth.org](http://www.nationalehealth.org)



## ...HIE → The Standards & Interoperability Framework (S&I Framework)

- The Standards and Interoperability (S&I) Framework is a **set of integrated functions, processes, and tools** being guided by the healthcare and technology industry to **achieve harmonized interoperability** for healthcare information exchange. (~2012)
- Mission/Goals:
  - **Linkage** of objectives, challenges, use cases, requirements, and standards across the solution development lifecycle
  - **Repeatable mechanisms** for harmonization and integration of existing standards, as well as identification of new standards
  - **Development of tools** that enable consistent, robust, and testable solutions (*e.g., test suite to validate an implementation against a specification*)
  - **Integration of multiple Standard Development Organizations (SDOs)** with different expertise across the solution development lifecycle
  - **Leveraging of federal guidance** and best practices.
- More: [www.siframework.org](http://www.siframework.org)

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## ...HIE > Health Information Security and Privacy Collaboration (HISPC)

- Address the **privacy and security challenges** presented by electronic health information exchange through multi-state collaboration. **Harmonize state privacy law.** (award ~2006 – 2009 then membership).
- Two tools were developed:
  - ❖ **Comparative Analysis Matrix (CAM):** collection of almost 150 **subject matter areas typically addressed by state law** that involve or may impact the use and disclosure of health information.
  - ❖ **Assessment Tool:**
    - assist stakeholders to **identify and obtain consensus** on priority recommendations for legislation
    - enable a state to identify and **analyze relevant state statutes** and establish a priority order for potential statute modernization efforts
    - allow states to **identify non-legislative solutions** to address identified issues.



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## History of HIE → National Health Information Infrastructure (NHII)

- A **healthcare standardization initiative** for the development of an interoperable health information technology system. (~2004)
- Mission: Build an **interoperable system** of clinical, public health and health information technology + **encourage public-private partnership** with a Federal leadership role.
- The NHII evolved into the Nationwide Health Information Network (NwHIN):

*NHII (2004) → NHIN (2010) → NwHIN (2011)*

- NHII Projects:
  - **Phase I (May 2007)**: build prototype and show determination of need → Accenture, CSC, IBM, Northrup Grumman
  - **Phase II (Oct 2007)**: specification of factory → 9HIEs (TN, DL, IN, CA, NM, VA, Y, NC, WV)
  - **Phase III (2011)**: production phase → ~35 participants

## History of HIE → Nationwide Health Information Network (NwHIN)

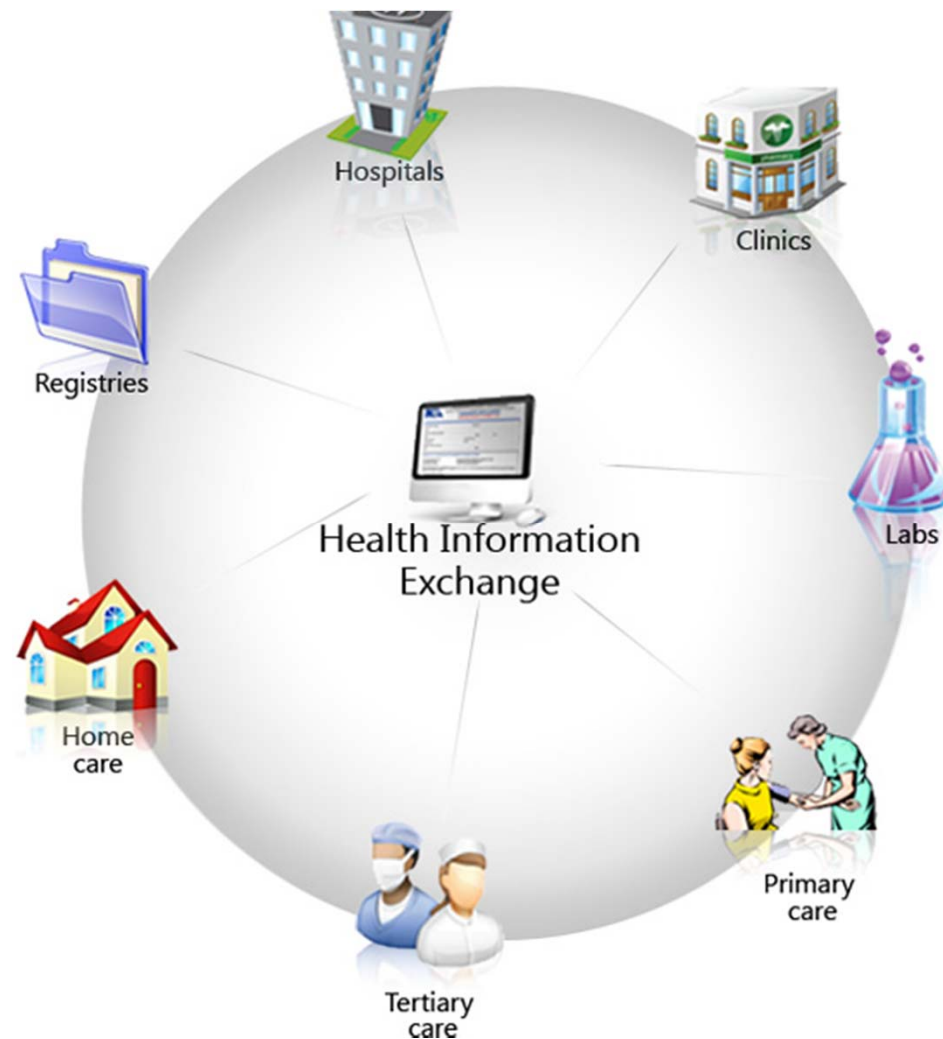
- A set of standards, services and policies that **enable secure health information exchange over the Internet**. (~current)
- Mission: Facilitate exchange of healthcare information being developed **under governance of ONC**.
- Stakeholders:
  - **Care delivery organizations** (CDOs) using EHRs
  - **Consumer organizations** operating personal health records (PHRs)
  - **HIEs** with multi-stakeholder entities
  - **Specialized participants** (data for secondary uses)
- Exchange participants: DoD, VA, SSA, CDC, MedVirginia, KP, Regenstrief Institute, HealthBridge, NC-HIE, Inland NWHS
- Granted funding for multiple Beacon Communities

## History of HIE → Health Information Organization (HIO)

- HIE (verb): **The electronic movement of health-related information** among disparate organizations according to nationally recognized standards in an authorized and secure manner.
- HIO (noun): **An organization that oversees and governs the exchange activities** of health-related information among independent stakeholders and disparate organizations according to nationally recognized standards in an authorized and secure manner.
- An HIO can be described by many acronyms, including:
  - State Level Health Information Exchange (**SLHIE**)
  - Regional Health Information Exchange (**RHIO**)
  - Regional Health Information Network (**RHIN**)
  - Health Information Exchange Networks (**HIE[N]**)
  - Others: Integrated Delivery Systems (IDNs); Physician practices HIEs; Payer-led HIEs; and, Disease-specific HIEs.

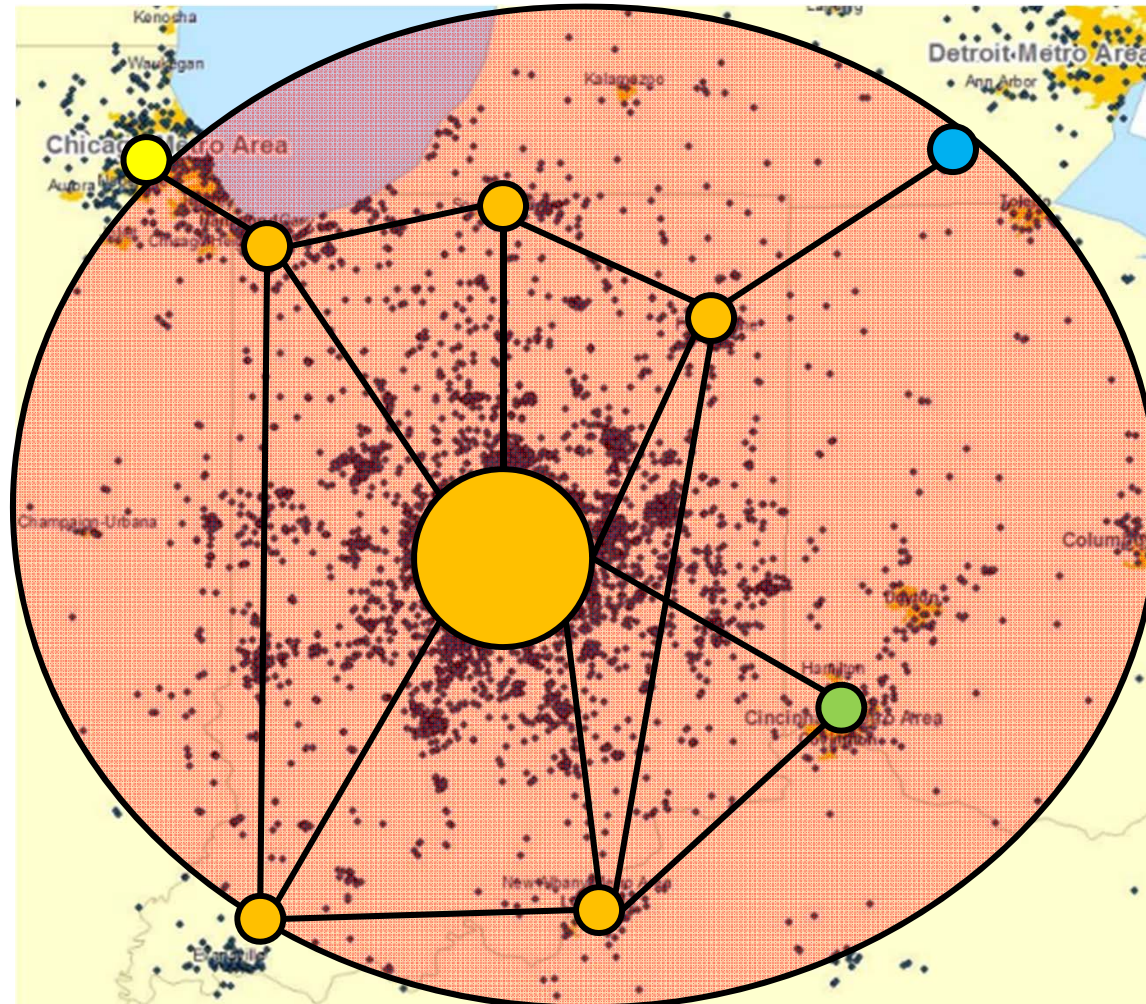
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## History of HIE > HIO (cont.)



Health Information Organizations

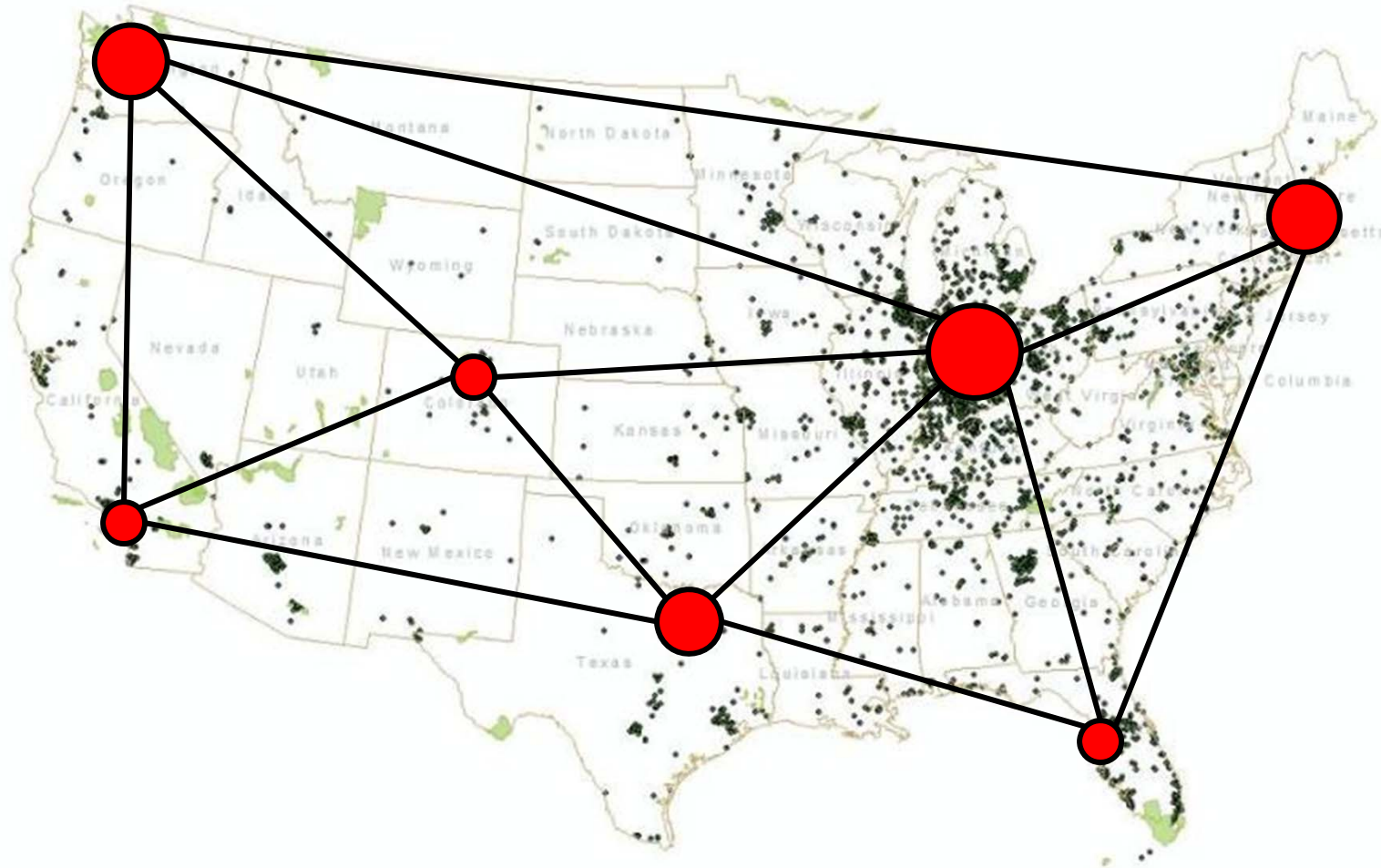
## History of HIE > HIO (cont.)



Health Information Organizations

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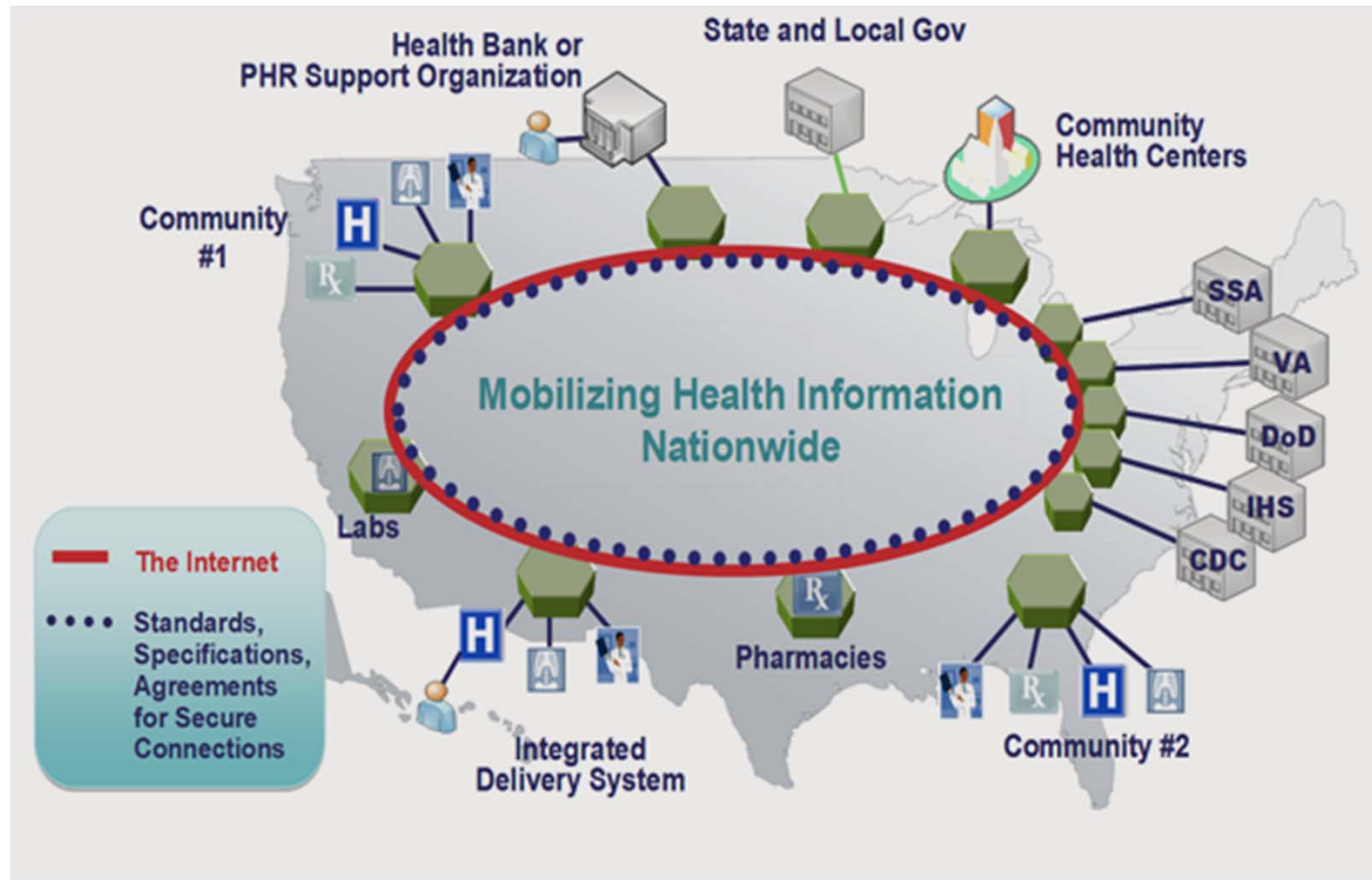
## History of HIE > HIO (cont.)



Nationwide Health Information Network (NwHIN)

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## History of HIE → HIO to NwHIN



Nationwide Health Information Network (NwHIN)

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## **HIE Architecture**

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## HIE Architecture

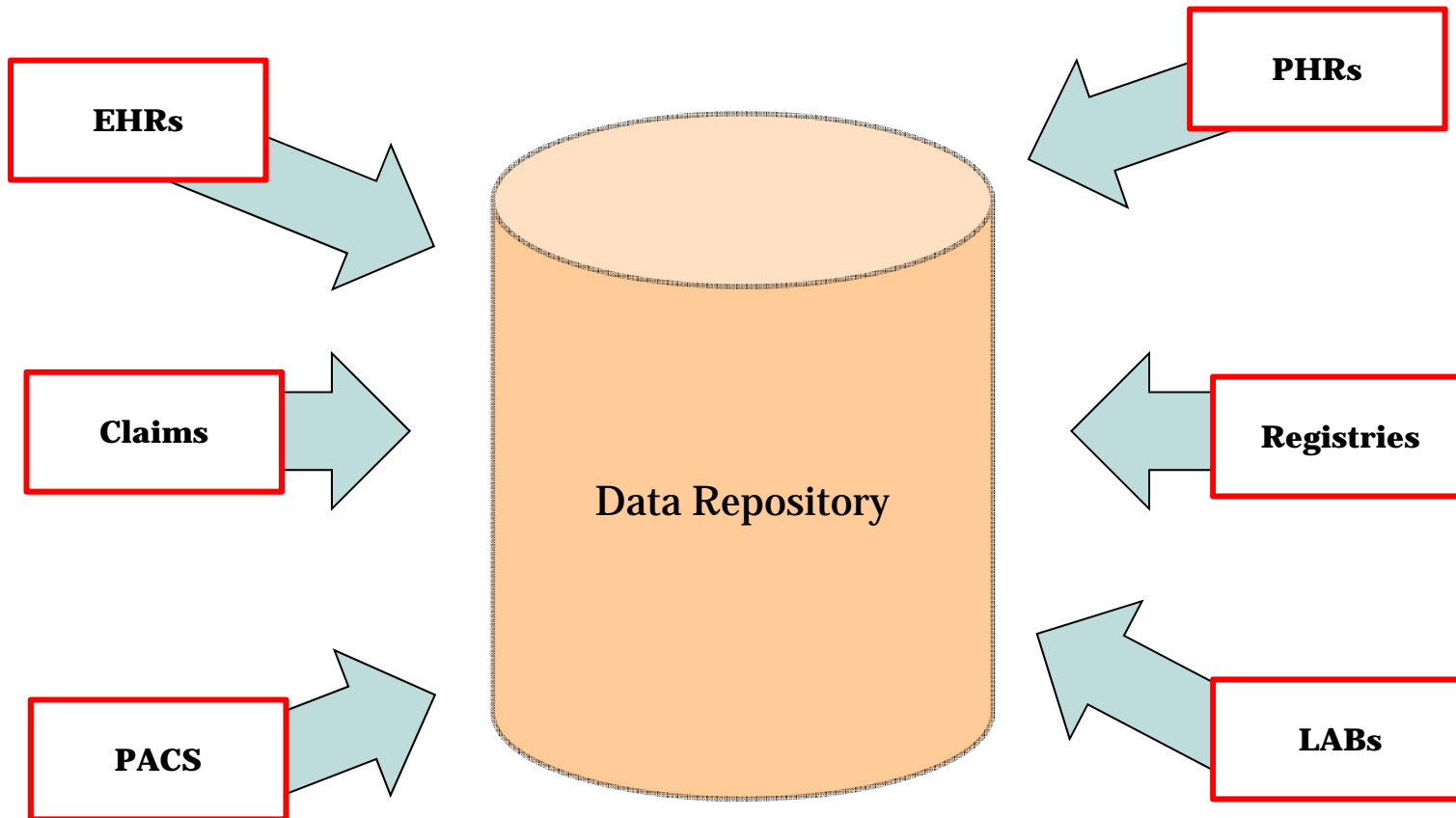
- Architecture is a formal description of a system or a **detailed plan of the system at component level**, used to guide its implementation.
- It includes the **structure of components, their interrelationships** and the principles and guidelines governing their design and evolution over time.
- Four commonly used subsets of an overall enterprise architecture: Business (or business process) architecture; Application architecture; Data architecture; and, **Technical architecture**.
- HIE technical architectures:
  - Centralized (Monolithic data repository)
  - Federated (Consistent & Inconsistent)
  - Hybrid
  - Switch (Service Oriented / Web Services)
  - Patient Centric (PHR oriented)

## HIE Architecture → Centralized

- Data is accumulated and managed in a **single and centralized repository**
- The state **HIO has full control** over the data and the ability to authenticate
- **HIO is responsible** for patient ID, data storage and privacy
- Users interact with centrally located and **standardized content**
- Example: UK NHS planned HIE
- **Advantages:**
  - **Simplicity** / efficiency
  - Data are **consistent** + no patient linkage issues
- **Disadvantages:**
  - **Doesn't scale well**
  - Single point of control – must **trust** the custodian
  - Requires exceptional **leadership**
  - Everyone has to **accept the same identifier**
  - Needs robust communication **infrastructures**

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## HIE Architecture → Centralized (cont.)



Centralized HIE

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## HIE Architecture → Federated

- Peer-to-peer architecture.
- Network permits **users access only when needed**.
- **Multiple patient id technologies**: Master Patient Indices (MPI) and Record Locator Service (RLS).
- **Inconsistent**: includes **non-standardized data** such as different patient identifiers; different data models (basic way to organize the data); different identifiers for observations (e.g. hemoglobin, Hgb or WB Hemoglobin); and, different units
- **Consistent**: data gathered centrally in separate physical files, “mirrors” of remote sites; and, **standardized at the time it comes in**.
- Examples:
  - Inconsistent: Santa Barbara County Care Data Exchange
  - Consistent: Indiana HIE

## HIE Architecture → Federated *(cont.)*

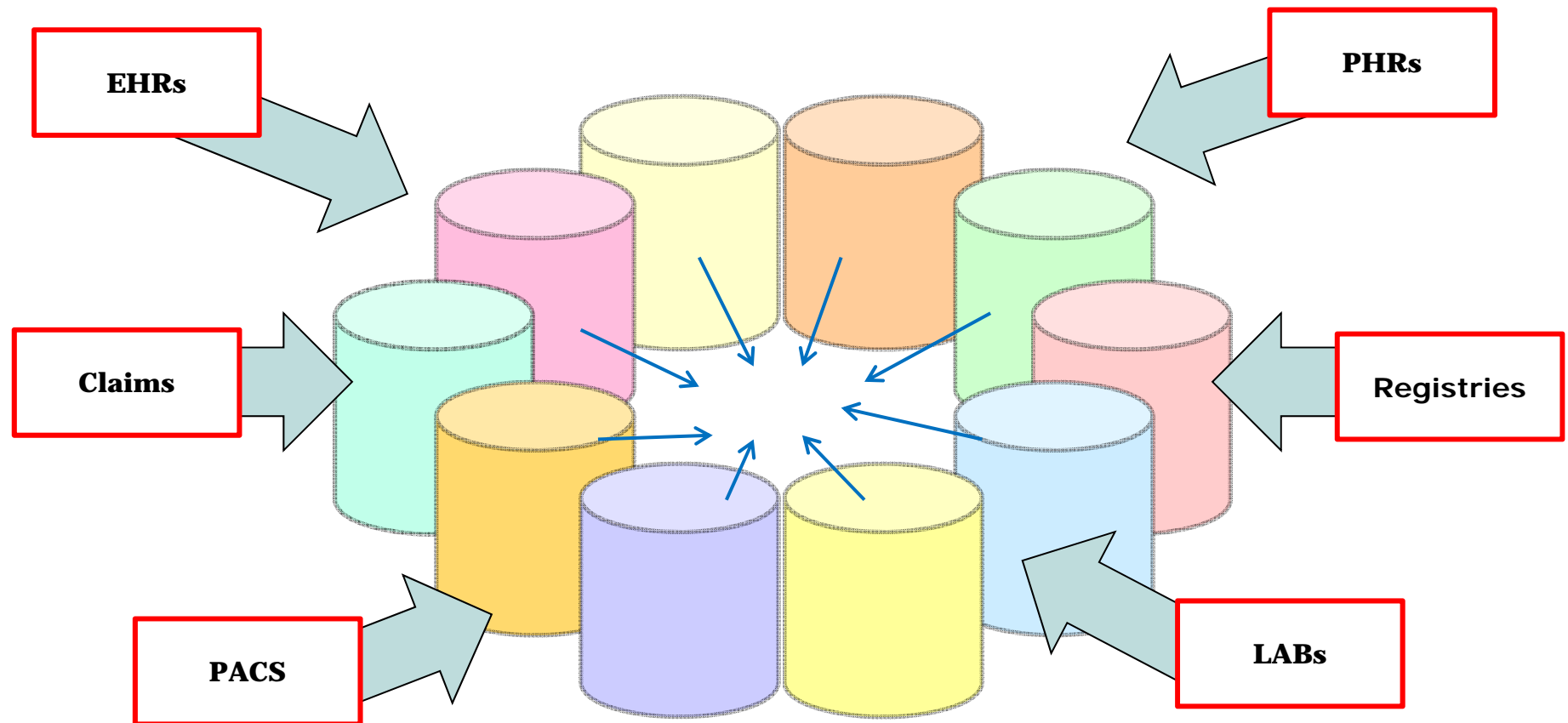
### ■ Advantages:

- Data ownership can be managed by defining **business policies**
- Individual organizations able to **control their own data**
- Benefits of **scale**
- Builds **on existing infrastructure** – no need for new computers
- More opportunities for **creativity** (within the specified architecture)
- the only examples of **working interoperable** healthcare systems

### ■ Disadvantages:

- Requires **more coordination**
- May be **slower** than monolithic database
- Have to solve the **patient identifier** problem
- Also needs **robust communication infrastructure** in place

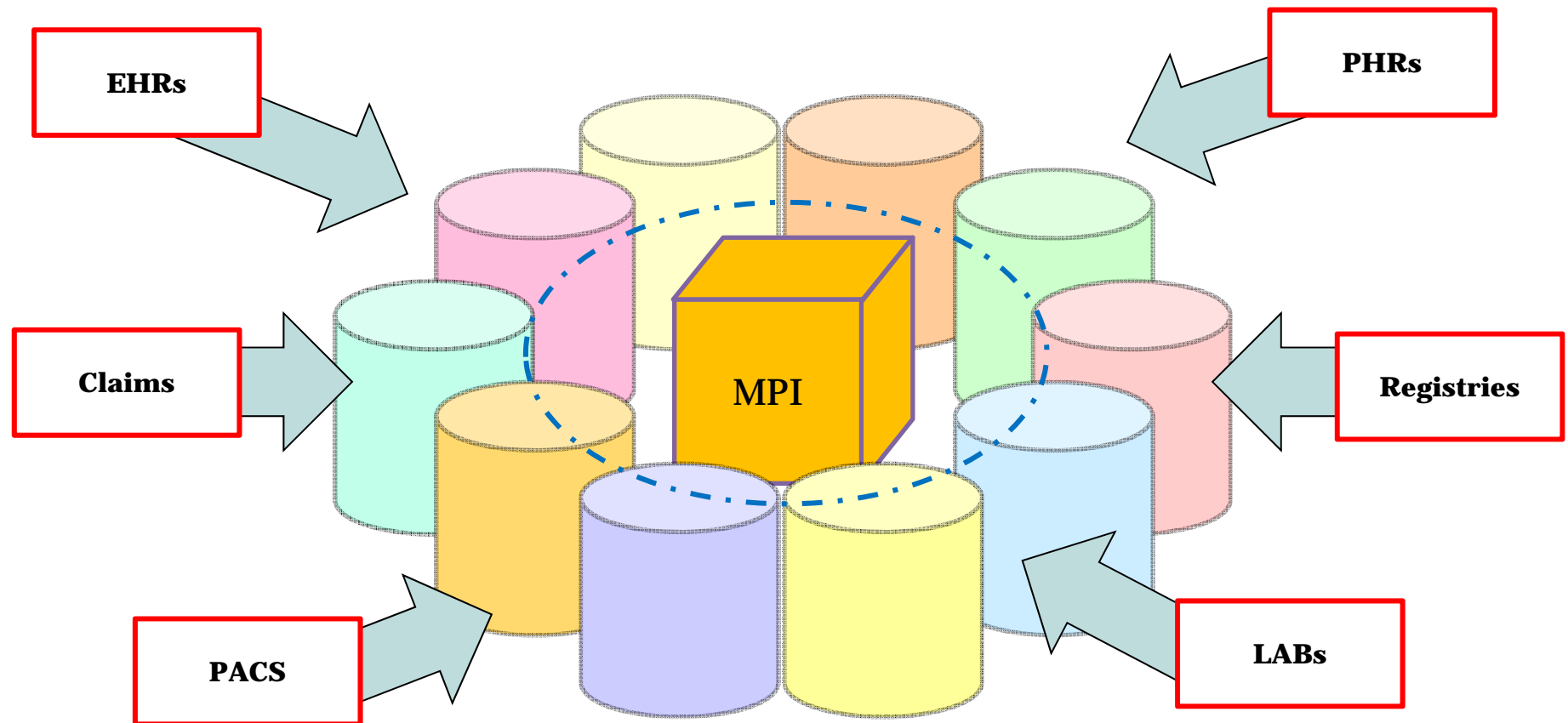
## HIE Architecture → Federated *(cont.)*



Federated Inconsistent HIE

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## HIE Architecture → Federated (cont.)



Federated Consistent HIE

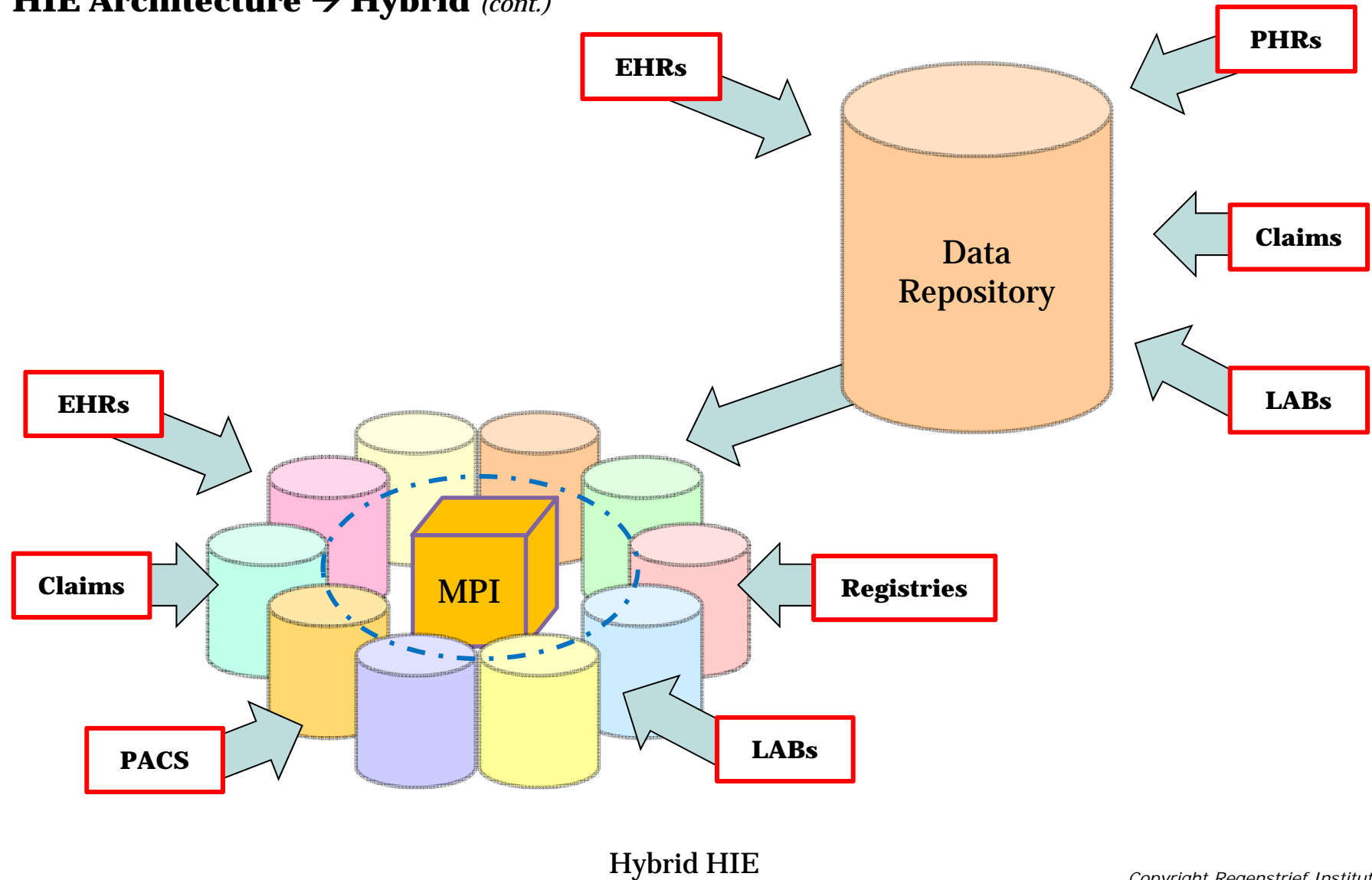
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## HIE Architecture → Hybrid

- A **mix of Centralized and Federated** models
- Centralization or distribution is dependent on specific requirements
- **MPI is used** to link patient records across databases
- User interface brings together patient information from **various sources**
- Advantages and disadvantages depend on the centralized and federated components of the hybrid model



## HIE Architecture → Hybrid (cont.)

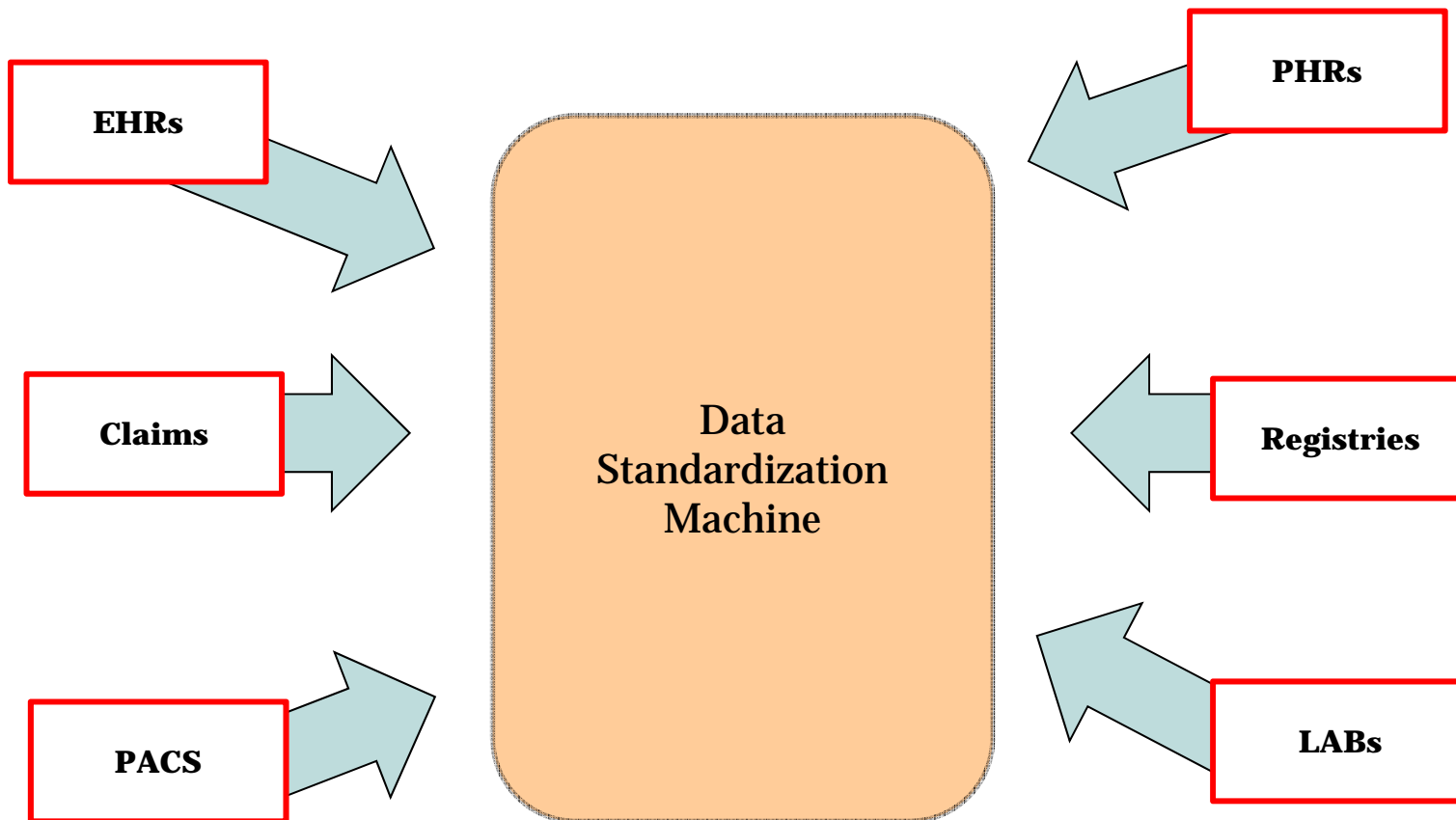


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## HIE Architecture → Switch

- Data gathered centrally in separate physical files, “mirrors” of remote sites
- Standardized at the time it comes in
- No data storage
- Example: Utah Health Information Network (UHIN)

## HIE Architecture → Switch *(cont.)*



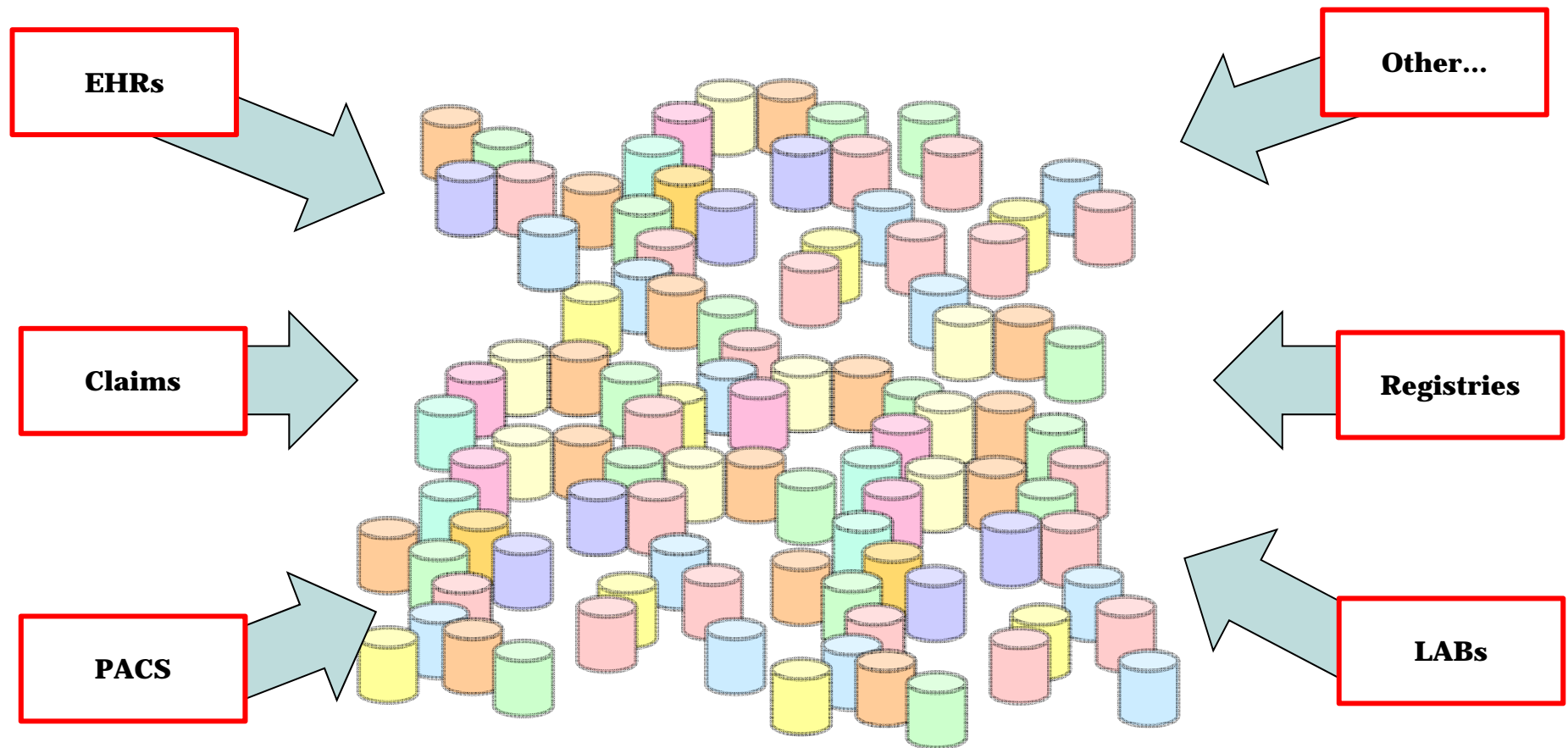
Switch HIE

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## HIE Architecture → Patient Centric

- Standardized data set managed by each individual
- Infrastructure at clinical sites to interact with data
- **Advantages:**
  - Direct **patient control**
  - Patient controls **access**
  - **Security** is in the hands of the person at risk
  - Can serve as a **token**
- **Disadvantages:**
  - **Update problems** – hard to get the data to the card when asynchronously generated (i.e. after patient leaves)
  - Risk of **loss**
  - Does not facilitate **research or public health use**
  - Patient **authentication**

## HIE Architecture → Patient Centric *(cont.)*



Patient Centric HIE (e.g., PHR controlled)

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## HIE Architecture → Useful Resources

- <http://www.connectopensource.org/>

**CONNECT Community Portal**

Log In Enter Search Topic... GO

Home About Adopters Partners **Product** Developer Resources Communities Blog

**WHAT IS CONNECT?**

CONNECT is an open source software and community that promotes IT interoperability in the U.S. healthcare system. CONNECT enables secure electronic health data exchange among healthcare providers, insurers, government agencies and consumer services.

Read more >

**Product**

- Release 3.3.1.2
- Release 3.2.2
- Release 3.1
- Release 2.4.7
- Older Versions
- CONNECT/Nationwide Health Information Network Specs
- Licensing
- Security
- Sprint Summaries
- Testing Tools

**CONNECT CODE-A-THON**  
NOVEMBER 7&8  
ARLINGTON, VA

REGISTER

hosted by MARYMOUNT UNIVERSITY

**CONNECT Nationwide Health Information Network Participate**

YOUR ONRAMP TO THE NATIONWIDE HEALTH INFORMATION NETWORK EXCHANGE!

Latest Developments: 2 is now available!

CONNECT Code-A Email Organization Get Project Updates

**Headlines**

- SEP 13: FHA Director Lauren Thompson Talks About Federal Health IT Initiatives for National Health IT Week. Federal News Radio.
- SEP 11: Conemaugh Leads Non-Government Systems as First to Adopt NwHIN Conemaugh Health System.
- JUL 31: CONNECT Posts Open Source HE Software Patch. Government Health IT.

Read All News >

**Events**

- OCT 11-12: Lauren Thompson (FHA) to Speak at the Military Electronic Health Records Conference. Register >
- OCT 22: CONNECT Sprint Review. Details >
- NOV 7 & 8: Marymount University to Host CONNECT Code-A-Thon. Register >

More Events >

**Get Involved!**

Participate in the CONNECT community.

**CONNECT Blog**  
Read the Blog >>>>>>>>>>

- Developer Forums
- Submit Code
- Submit Bugs

**CONNECT Spotlight**

Participate in **CONNECT Sprint Reviews**

LEARN MORE

CONNECT Sprints Now Open to the Community (every other Monday) >

## HIE Architecture → Useful Resources (cont.)

- <http://www.mirthcorp.com/community/downloads>

The screenshot shows the Mirth Connect website interface. The main navigation bar includes SOLUTIONS, PRODUCTS, RESOURCES (highlighted), and COMPANY. A search bar is located in the top right corner. The left sidebar contains a 'Resources' menu with links to Training, Forums, Overview, Wiki, Issues, Source Code, Mirth Exchange, IRC, FAQs, Contribute, Registration, and Downloads. Below this is a 'Products & Services' menu with links to Support, Training, Consulting, Hardware Appliances, Hosted Appliances, and Virtual Appliances. At the bottom of the sidebar is a 'Good Stuff' section.

The main content area is titled 'Mirth Connect 2.2.1' and features a section for 'Important Upgrade Guides: All Upgrade Guides'. Below this is a 'Release Notes: 2.2.1' section with a table of download links for different operating systems and architectures.

Mirth Connect 2.2.1			
<b>Important Upgrade Guides:</b> All Upgrade Guides			
Release Notes: 2.2.1			
Windows	XP, Vista, 7, Server	2.2.1.5861	32-bit Installer 32-bit Zip 64-bit Installer 64-bit Zip
Linux	2.4 kernel or newer	2.2.1.5861	RPM Tar.gz Installer
Mac OS X	10.X	2.2.1.5861	Installer Tar.gz
Mirth Connect 2.2.1 Command Line Interface			
Windows	XP, Vista, 7, Server	2.2.1.5861	Zip
Linux / Mac OS X	2.4+ kernel / 10.X	2.2.1.5861	Tar.gz
Archived Downloads			

On the right side of the page, there is a 'Mirth Newsletter' sign-up form with a text input field for an email address and a 'Sign Up' button. Below the newsletter form is a 'Latest Forum Topics' section with a list of forum posts, each preceded by a checkbox icon.



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## **HIE Services**

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## HIE Services → Core Services

- Each HIE may have data services that **vary based on defined requirements**.
- **Presentation Services**
  - **Format data displays** to meet end user interaction and display device requirements.
  - Examples: login, patient look-up, request patient records, view data
- **Business Application Services**
  - Key functional components that house rules and **execute business logic** on clinical data to render.
  - Examples: e-Prescribing, EMR, lab, radiology, eligibility checking, problem list/visit history
- **Data Management Services**
  - Manage application access to **data storage and processing of data** in the storage layer.
  - Examples: data persistence/access, value/code sets, key management

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## HIE Services → Core Services *(cont.)*

### ■ Data Storage Services:

- Provide reliable, secure data storage for **efficient access by data management services**.
- Examples: message logs, XML Schemas, Provider/User Directory

### ■ Integration Services:

- Manage integration services across the **different layers of the technology stack**.
- Examples: message translation/transport, HL7 mapping, EMR adapter

### ■ System Management Services:

- Provide system and application **administrative and management** support.
- Examples: system configuration, audit/logging, exception handling

## HIE Services → Core Services *(cont.)*

### ■ Security Services:

- Manage the implementation of **security to control system access** and protect confidentiality and integrity of data in the system.
- Examples: authentication/authorization, consent management, user roles, policy management

### ❖ Some concrete examples:

- Clinical results delivery: Lab, Radiology, etc.
- Clinical information, notes & documents
- Medication history, summaries, alerts, etc.
- Immunizations, syndromic surveillance and public health data
- Electronic prescribing, refill information
- PHRs, patient-reported data
- EMT, 1st responder notes
- Claims transaction / electronic eligibility information
- Data quality and research support documents

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## HIE Services → Data Services by Constituency

### ■ Hospitals

- Clinical messaging
- Medication reconciliation
- Shared EHR
- Eligibility checking

### ■ Physicians

- Result reporting
- Secure document sharing
- Shared EHR
- Clinical decision support
- Eligibility checking

### ■ Laboratory

- Clinical messaging
- Orders

### ■ Public Health

- Needs assessment
- Biosurveillance
- Reportable conditions
- Results delivery

### ■ Consumers

- Personal Health Records

### ■ Researchers

- De-identified longitudinal clinical data

### ■ Payers

- Quality measure
- Claims adjustment
- Secure document transfer

## HIE Services → Emerging Services

- Next Generation Analytics
  - Data warehouse, data analytics and business **intelligence**
  - **Quality reporting** support
  - **Performance** management
  - **Fraud** and abuse identification and prevention
  - **Population monitoring** and **predictive profiling**
  - Care **gap identification**
  - Care and **disease management**
  - **Public health** monitoring and analysis



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# HIE Sustainability

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## HIE Sustainability

### ■ HIE Organizational Models:

- **For-profit** entity
- **Non-profit** entity (501-C3 and other non-profit designations )
- State agency or other **government agency** (e.g., State Level HIE)

### ■ Current Funding Options: (short-term!)

- **Federal** grants, federally funded IT programs and other contracts
- **State** grants and state funded contract programs
- Cash **loans** with scheduled payback
- **In kind** services
- Financial **donations**

## **HIE Sustainability** *(cont.)*

### ■ **HIE Revenue Types:**

- **Membership Fees:** Stakeholders pay to support shared services for all users of the electronic HIE
- **Transaction Fees:** Fees for data-exchange services
- **Program and Service Fees:** HIO acts in a programmatic capacity and charges stakeholders for their participation
- **Combination of Sources**
- **Other Funding Streams:** This includes providing value-added services in the form of “EHR-lite” functionality

### ■ **HIE sustainability challenges:**

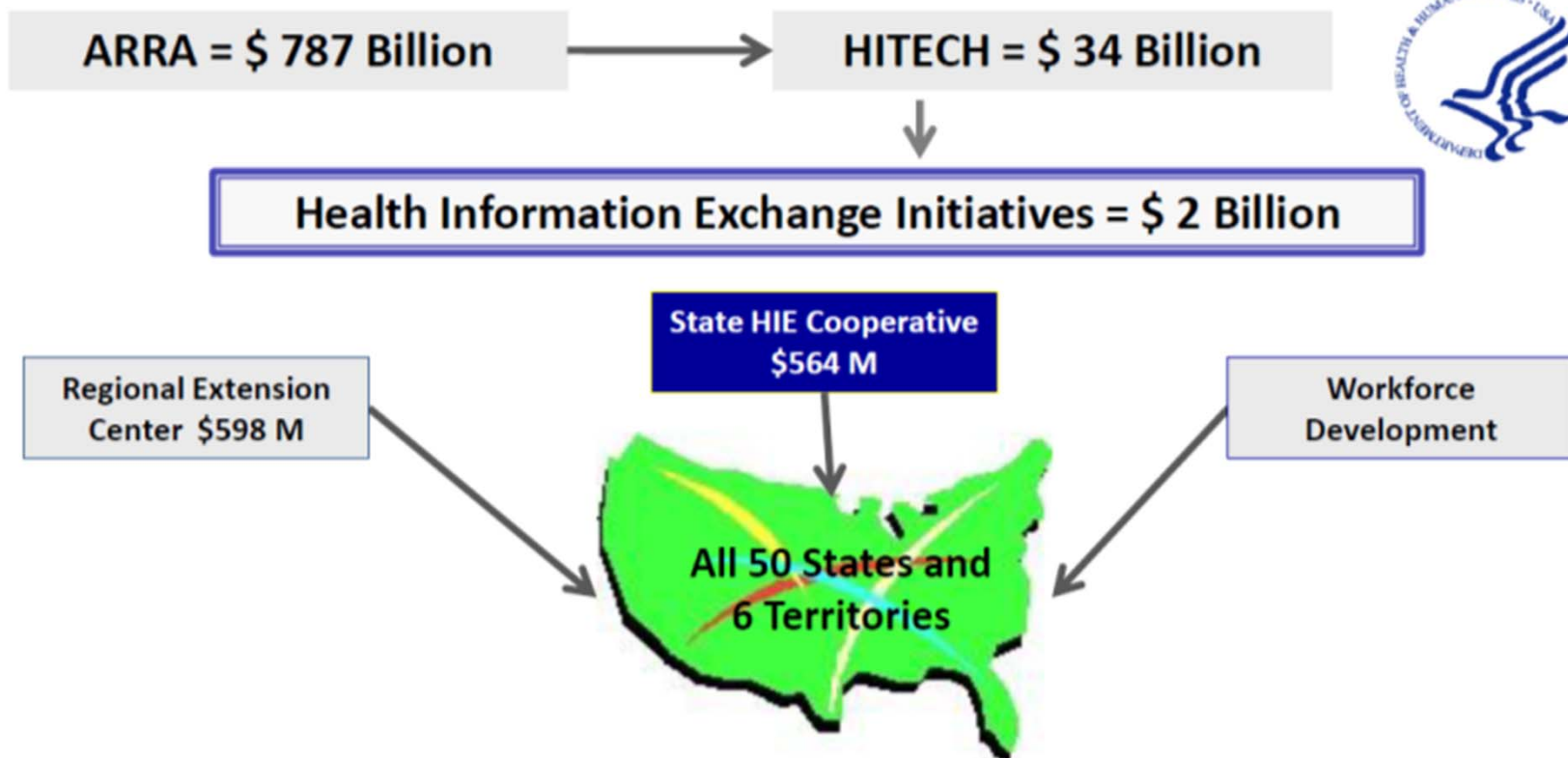
- **Funding Challenges:** Start-up funding; Interfaces; Interoperability; Integration; and, Maintenance
- **Interoperability Challenges:** evolution of standards
- **Maintaining solid data integrity with data exchange:** NLP challenges
- **Privacy protection:** Consent models; Segmentations

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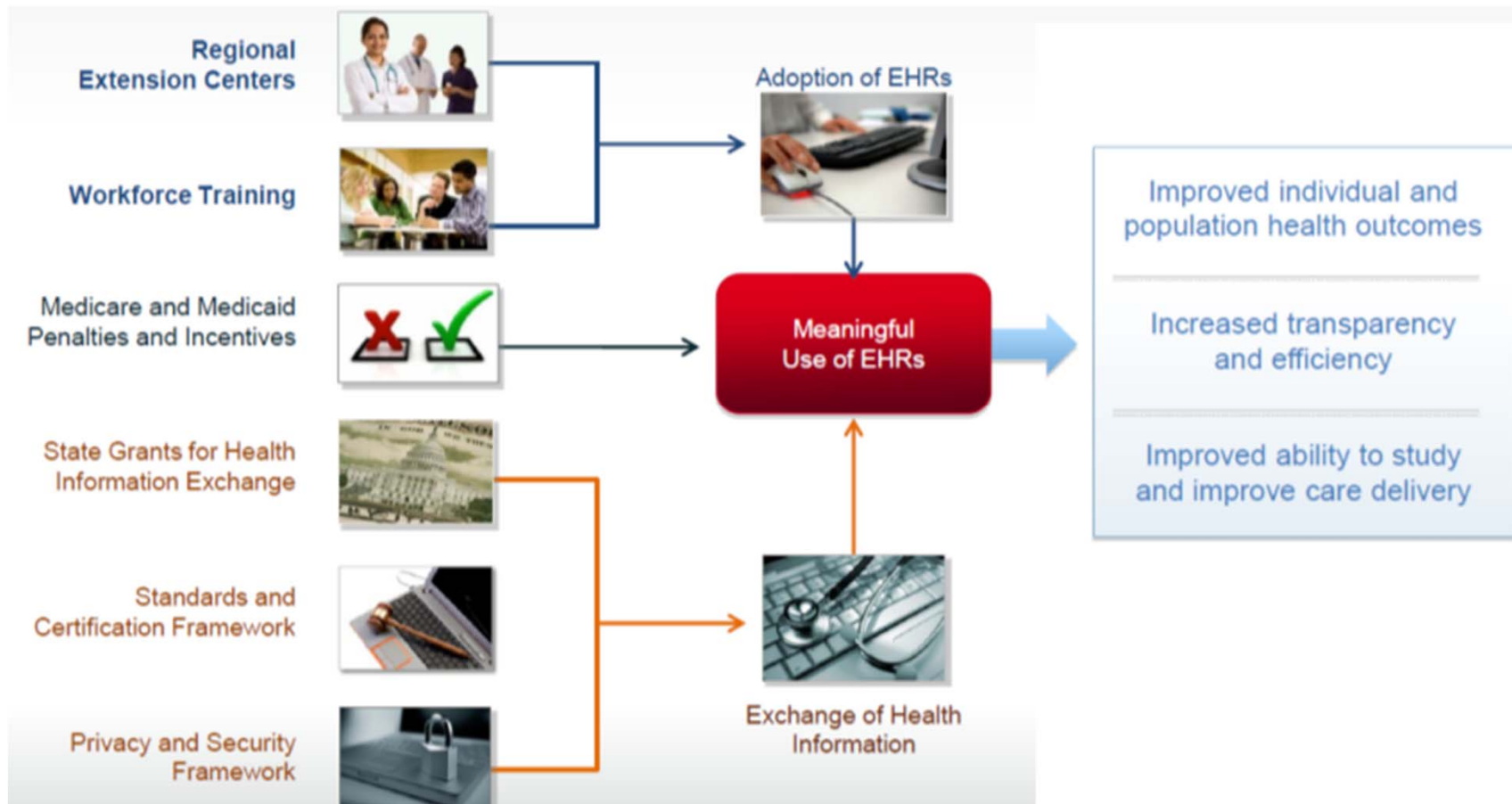
## HIE Sustainability → Federal Impact

- 2009 The American Reinvestment and Recovery Act (**ARRA**) is signed into law which includes The Health Information Technology for Economic and Clinical Health Act (**HITECH**).



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## HIE Sustainability → Federal Impact *(cont.)*



The HITECH Act's Framework for Meaningful Use of EHR

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## HIE Sustainability → Federal Impact *(cont.)*

- Two Specific HITECH Programs Directly Supporting HIEs:

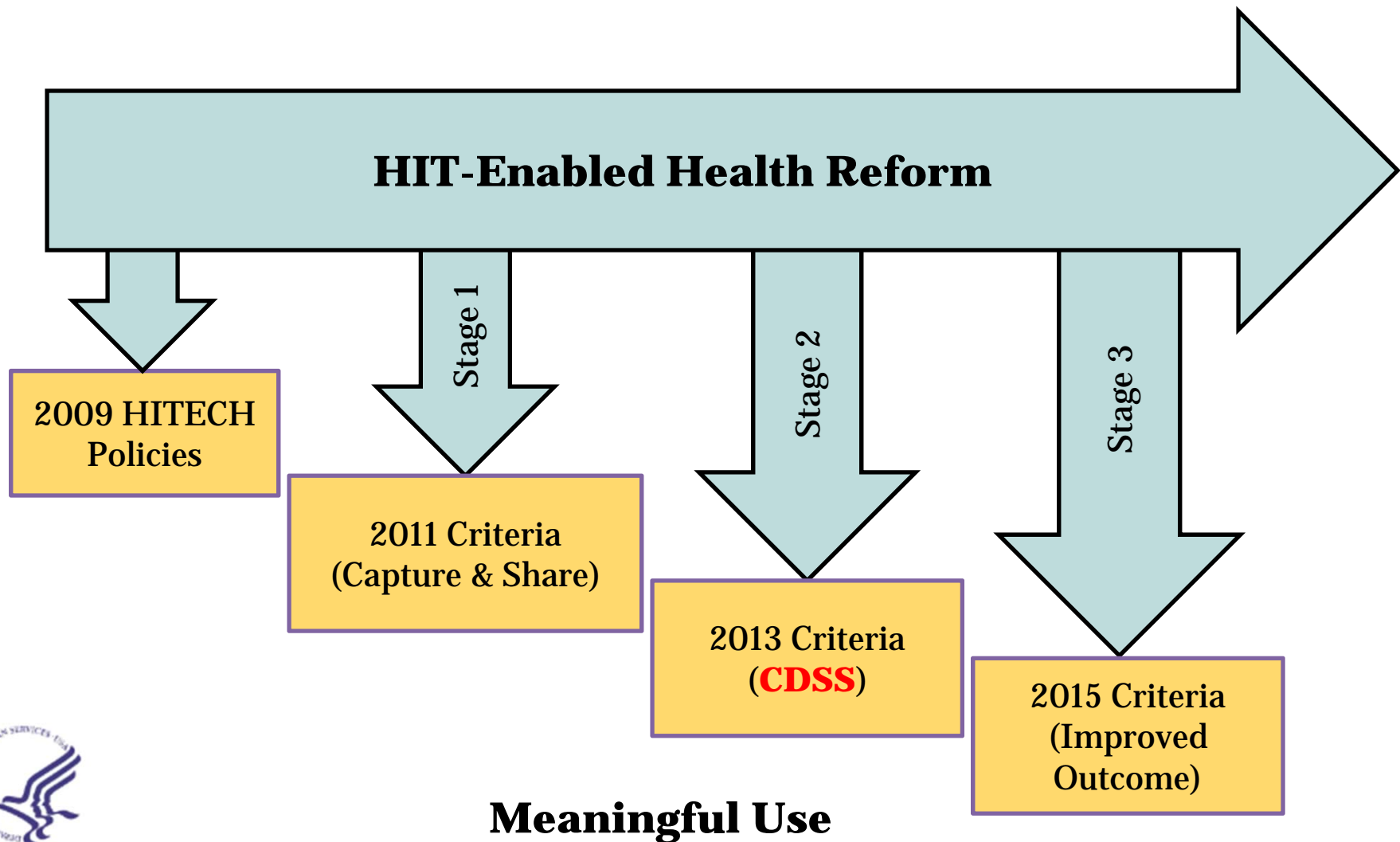
- ❖ **State Health Information Exchange**

Facilitate and expand the secure electronic movement and use of health information among organizations according to nationally recognized standards. This program will be a **federal-state collaboration** aimed at the long-term goal of nationwide HIE (NwHIN) and interoperability. (\$590M)

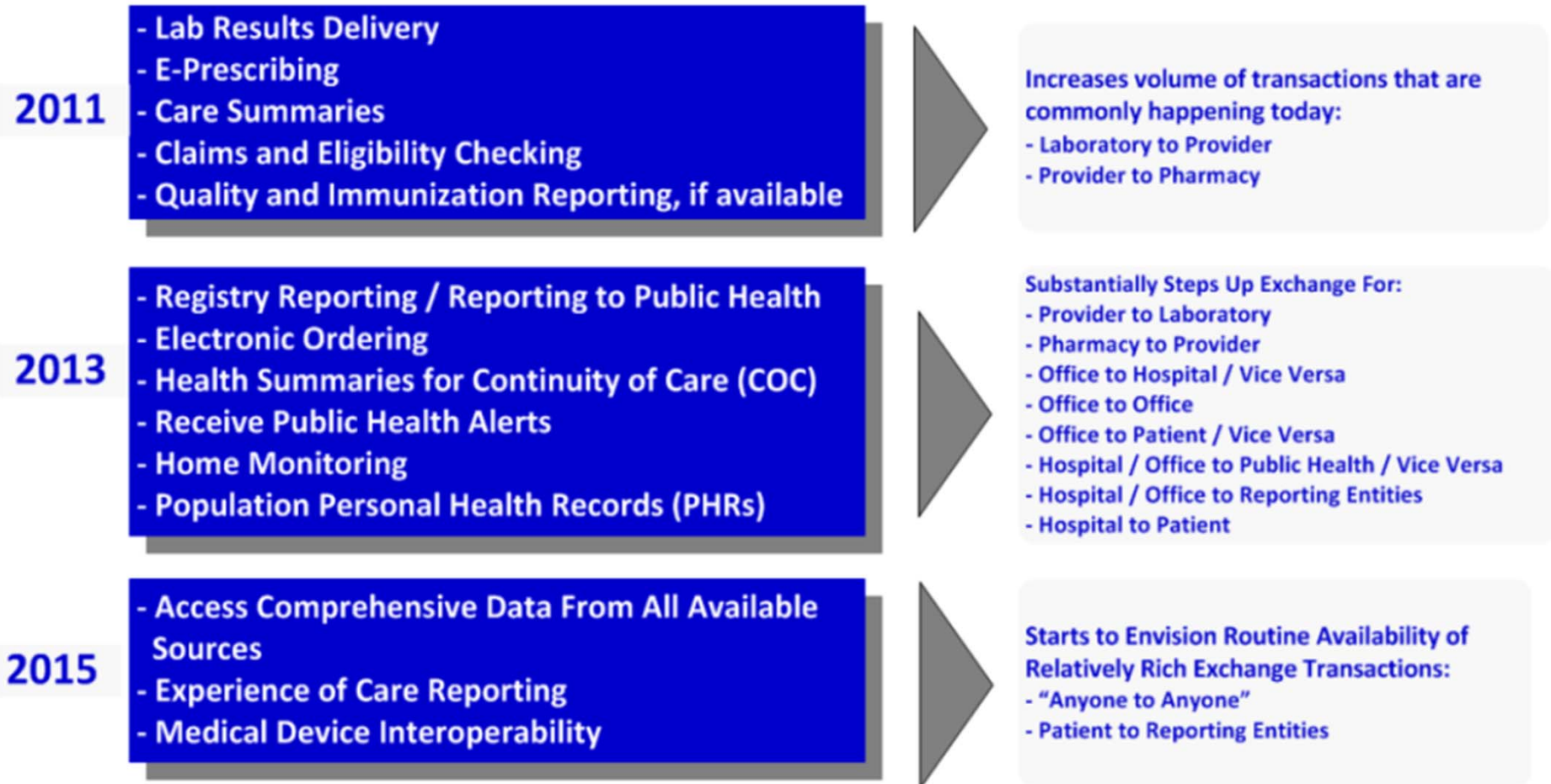
- ❖ **“Beacon” Community Program**

Define **best practices in the adoption and use of HIT** that other communities may emulate. (\$220M)

## HIE Sustainability → Federal Impact *(cont.)*



## HIE Sustainability → Federal Impact *(cont.)*



Meaningful Use objectives requiring HIEs

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## HIE Sustainability → State Impact

- The **states themselves are an important entity in the progression of HIE** initiatives. Some of the areas in which the states engage include:
  - **State-Specific Office:** To **manage federal funds** and support the strategic planning process for HIEs.
  - **State Privacy Laws:** There are **nuances in the privacy laws** and this information is critical to participants within each HIE.
  - **Inter-State Commerce Issues:** While most HIEs have a state-specific focus, there are other **HIEs that span across borders** to another state(s). Inter-State Commerce concerns must be considered for HIEs.
  - **Trust Domains:** In order to ensure security when **accessing or storing information**, Trust Domains have been created. They are a framework that takes into consideration those requirements and standards.

## HIE Sustainability → State Impact *(cont.)*

### ■ State Privacy laws

- Privacy laws at the state level are not identical.
- If you participate in an HIE, a **Business Associate Agreement (BAA)** is required to ensure protection of privacy for patient information. These agreements must be provided to each party that interacts with another party. As a result, there could be a very large number of BAAs in place to view or exchange patient data.
- **Data Use and Reciprocal Support Agreement (DURSA)**: With the growing number of Business Associates that will be entering into an HIE, there was a need to simplify the arrangement between and among those associates. DURSA is a policy which allows one agreement to be signed for all participants within the NwHIN initiative.

## HIE Sustainability → State Impact *(cont.)*

- To gain a better understanding of the HIE activities at each state level, <http://statehieresources.org/> can provide a variety of information to assist you.



U.S. Department of Health & Human Services

State Health Information Exchange Program  
The Office of the National Coordinator for Health Information Technology

Home State Plans State Contacts Bright Spots Grantee Recognition Program Program Initiatives Resources

STATE HEALTH INFORMATION EXCHANGE COOPERATIVE AGREEMENT PROGRAM

**State Contacts**  
Click the map below to find contact information for State HIT Coordinators and statewide coordinating entities.

The State Health Information Exchange Cooperative Agreements Program, authorized by Section 3013 of the PHSA as amended by ARRA, is designed to promote health information exchange (HIE) that will advance mechanisms for information sharing across the health care system. Widespread adoption and meaningful use of HIT is one of the foundational steps in improving the quality and efficiency of health care. The appropriate and secure electronic exchange and consequent use of health information to improve quality and coordination of care is a critical enabler of a high performance health care system.

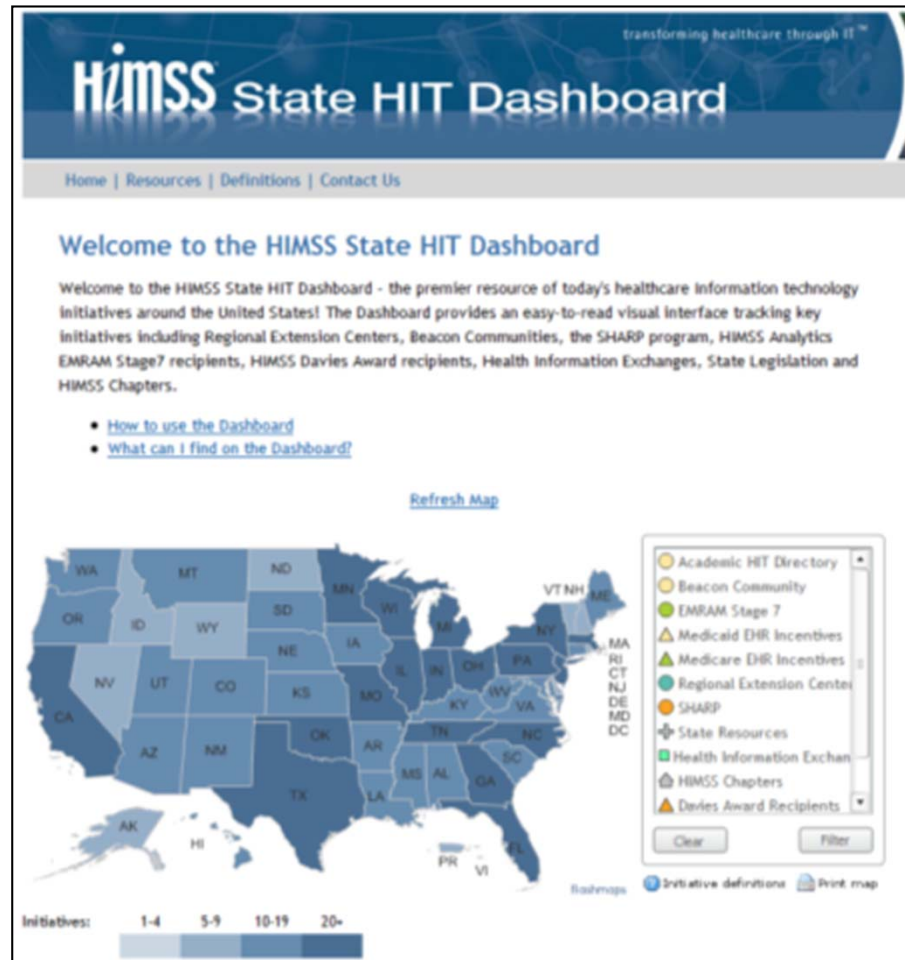
- **Purpose** -- The overall purpose of this program is to facilitate and expand the secure electronic movement and use of health information among organizations according to nationally recognized standards. This program will be a Federal-State collaboration aimed at the long-term goal of nationwide HIE and interoperability. To this end, ONC awarded cooperative agreements to States and State Designated Entities (SDEs).
- **Program** -- This program is focused on preparing States to support their providers in achieving HIE meaningful use goals, objectives, and measures. The total funding for this initiative is \$564,000,000.
- **Grantees** -- In March 2010, ONC completed the announcement of State HIE Cooperative Agreement Program awardees. In total, 56 States, eligible Territories, and qualified SDEs received awards.

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## HIE Sustainability → State Impact *(cont.)*

- More state level HIT initiatives at: <http://www.himss.org/statedashboard/>



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## **HIE Examples: IHIE and CRISP**

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## HIE Examples → IHIE (Regenstrief Institute)

- Established in 1969 by philanthropist **Sam Regenstrief**
- Regenstrief receives \$3 million per year in core support from the **Regenstrief Foundation**
- Annual operating budget of approximately **\$23 million derived from grants and contracts**
- Logical Observation Identifiers Names and Codes (**LOINC**) system, a standard nomenclature that enables the electronic transmission of clinical data from laboratories
- Regenstrief Medical Records System (**RMRS**) was developed 35 yrs ago. RMRS has a database of 6 million patients, with 900 million on-line coded results, 20 million full reports including diagnostic studies, procedure results, operative notes and discharge summaries, and 65 million radiology images.
- Indiana Network for Patient Care (**INPC**) was created in 1996. It is a city-wide clinical informatics network of 11 different hospital facilities and more than 100 geographically distributed clinics and day surgery facilities

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## HIE Examples → IHIE (cont.)



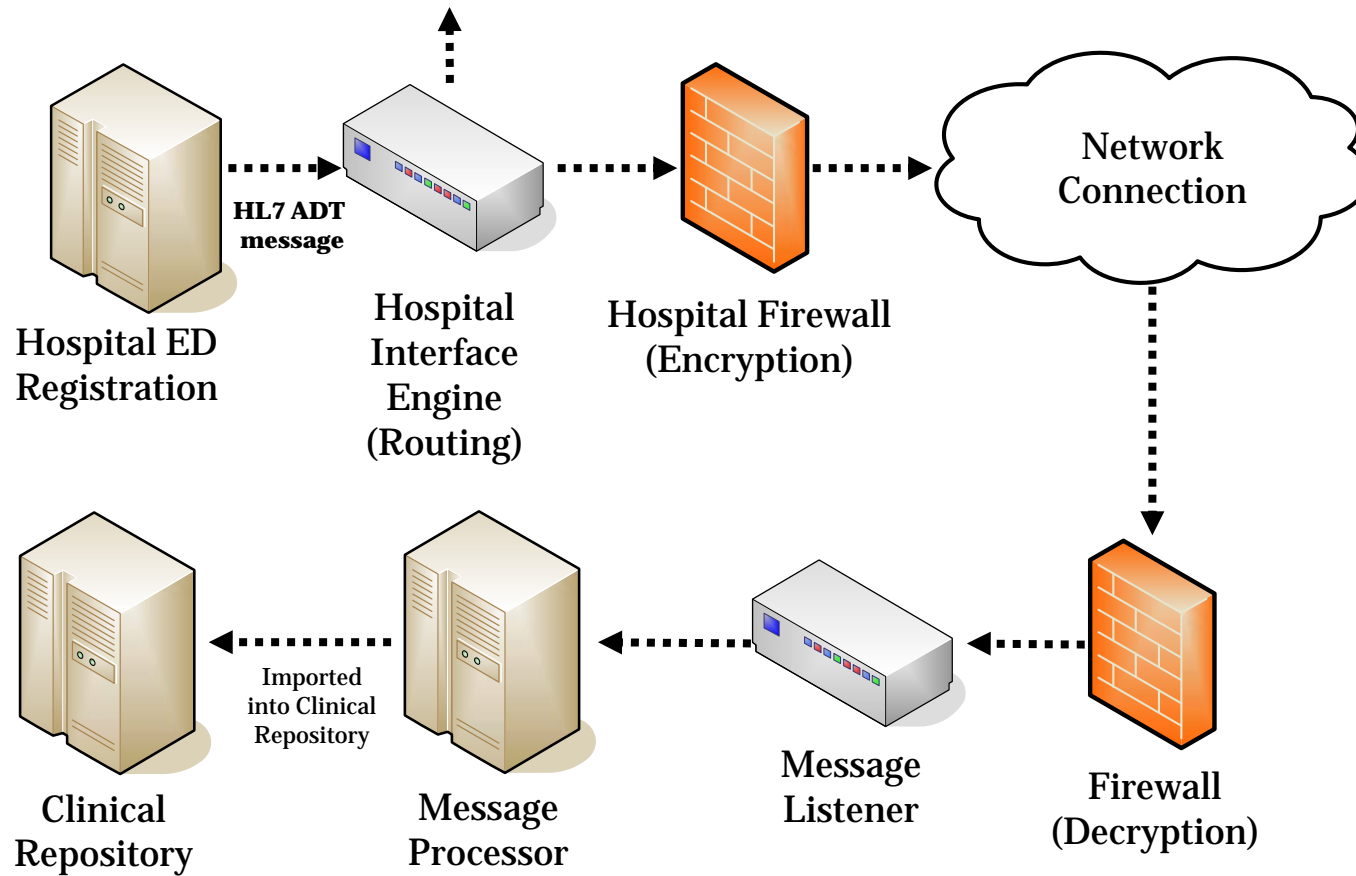
- The Indiana HIE (IHIE) includes (as of mid-2011):
  - Federated Consistent Databases
  - 22 hospital systems → ~70 hospitals
  - 5 large medical groups and clinics & 5 payors
  - Several free-standing labs and imaging centers
  - State and local public health agencies
  - 10.75 million unique patients
  - 20 million registration events
  - 3 billion coded results
  - 38 million dictated reports
  - 9 million radiology reports
  - 12 million drug orders
  - 577,000 EKG tracings
  - 120 million radiology images



Indiana Health Information Exchange

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## HIE Examples → IHIE (cont.)



Information flow

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## **HIE Examples → IHIE (cont.)**

### **■ Core Technologies:**

- Master Patient Index
- Vocabulary/Code Standardization
- Master Provider Index
- Message Processing Pipeline
- Secure Data Transmission
- Access Controls
- Licensing

### **■ Data Integrity Checks:**

- Source Validation
- Data Cleansing
- Quality Control
- Message Flow Monitoring
- Data Transmission Fail Over

## HIE Examples → IHIE (cont.) → CareWeb (summary of care)

BENGALFAN, JOSEPH #fake9001 (M) Age: 65 years [WISHARD] OVERHAGE, MARC

Select a Patient Browse Patient Record Select a Doctor Browse Doctor Record Other

Hide Menu Face Sheet Encounters Brief Detailed Medications Results Documents

Lab Display

Institution	Service Time	Test Name	Result
LOCAL	2008-09-23 00:00:00.0	RDW	15.8
LOCAL	2008-09-23 00:00:00.0	Temp Auto Oral	99.1
LOCAL	2008-09-23 00:00:00.0	MCHC	32.5
LOCAL	2008-09-23 00:00:00.0	MCH	30.6
LOCAL	2008-09-23 00:00:00.0	MCV	94.2
LOCAL	2008-09-23 00:00:00.0	EKG	No previous EKGs available
LOCAL	2008-09-23 00:00:00.0	EKG	abnormal EKG
LOCAL	2008-09-23 00:00:00.0	EKG	sinus tachycardia
LOCAL	2008-09-23 00:00:00.0	EKG	left bundle branch block
LOCAL	2008-09-23 00:00:00.0	RBC #	3.3
LOCAL	2008-09-23 00:00:00.0	WBC #	20.6
LOCAL	2008-09-23 00:00:00.0	Hct	31.1
LOCAL	2008-09-23 00:00:00.0	Hgb	10.1
LOCAL	2008-09-23 00:00:00.0	E.R. Diagnosis	unconscious
LOCAL	2008-09-23 00:00:00.0	LDH (Meth)	301
LOCAL	2008-09-23 00:00:00.0	Chest AP Port XR	Possible right middle lobe infiltrate.
LOCAL	2008-09-23 00:00:00.0	Resp Rate Auto	18
LOCAL	2008-09-23 00:00:00.0	Pulse Auto	118
LOCAL	2008-09-23 00:00:00.0	Diastolic BP Auto	95
LOCAL	2008-09-23 00:00:00.0	Systolic BP Auto	172
LOCAL	2008-09-23 00:00:00.0	Platelet #	161
HealthLINC	2008-09-16 16:30:00.0	INR	1.1
HealthLINC	2008-09-16 16:30:00.0	Factor II Actvty %	12.6
HealthLINC	2008-09-16 00:00:00.0	Dx & Complaints	DEEP VEIN THROMBOSIS
NYCLIX	2008-06-20 00:00:00.0	Dx & Complaints	ABRASION HIP & LEG
NYCLIX	2008-06-20 00:00:00.0	Dx & Complaints	FALL NOS
NYCLIX	2008-06-20 00:00:00.0	Dx & Complaints	DIFFICULTY IN WALKING
NYCLIX	2008-06-20 00:00:00.0	Dx & Complaints	HTN: HYPERTENSION

Done Internet 100%

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# HIE Examples → IHIE (cont.) → Docs4Docs

**DOCS4DOCS®** Dixon, Brian  
A to Z Family Practice

DOCUMENT INBOX

General  
Inbox  
Inbox History  
Report Search  
Change Password  
Change Practice  
System Messages  
Help  
Logout

DOCS4DOCS® 480 PDR SK  
docs4docs@dhm.com  
1-317-844-1732  
1-877-3619-040  
1-877-425-2745

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1991-2004. All Rights Reserved.

Document INBOX

Holds all new documents. VT is 'visit type': OP (Outpatient), IP (Inpatient), ER (Emergency), MC (Misc) or blank if not known.

Filters:

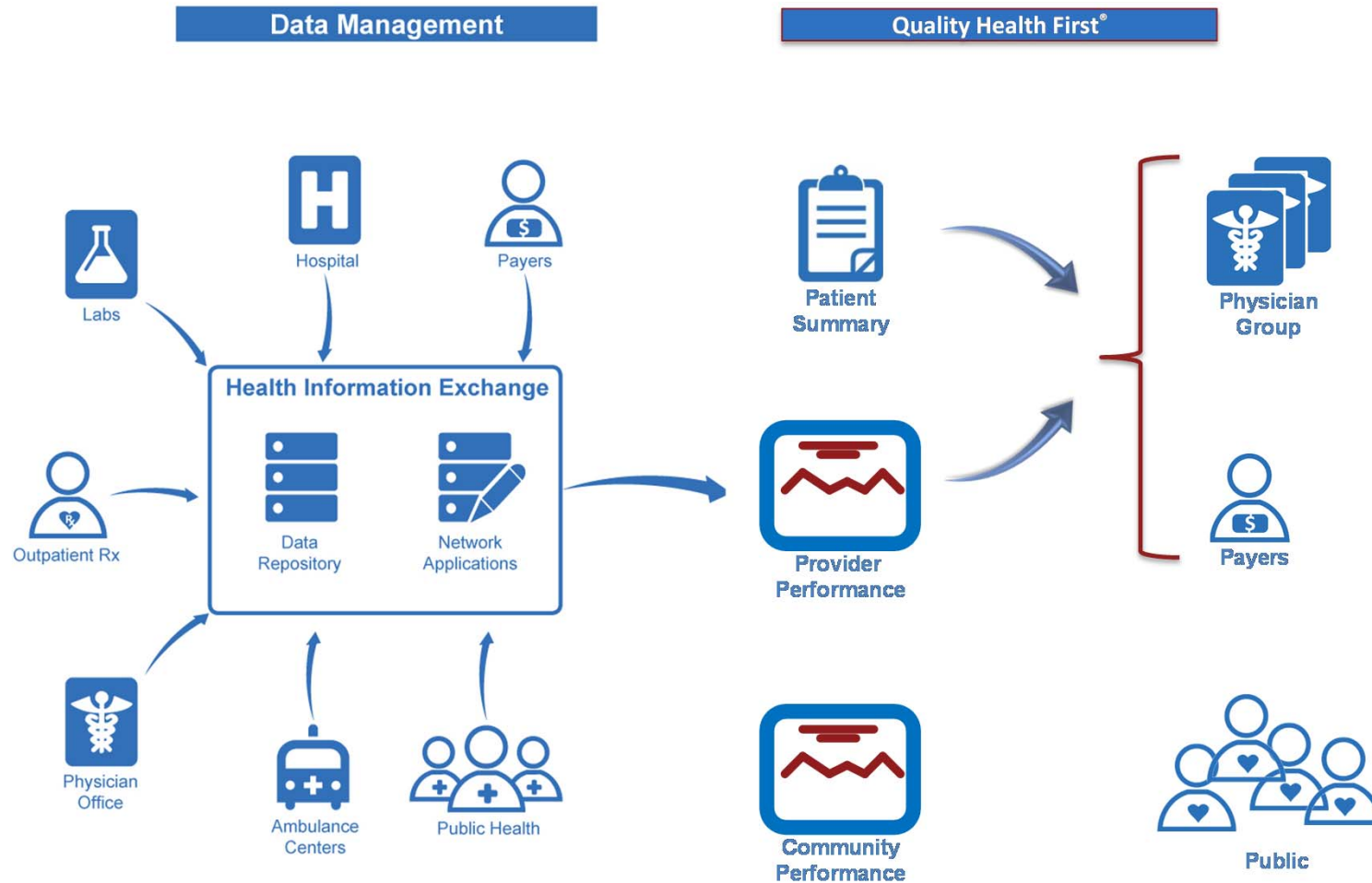
Actions:

Provider	NRN	Patient Name	Arrival	VT	Doc Type	Doc Details
<input type="checkbox"/> Benton, Peter	491179	AUSTEN, SAM	2007 10/04 01:27 AM		Lab (St. Francis)	Basic Metabolic Panel
<input type="checkbox"/> Benton, Peter	290965	BOWER, SARAH	2007 10/04 01:27 AM		Microbiology (St. Francis)	TRICHOMORAS WET PREP EXAM
<input type="checkbox"/> Benton, Peter	458740	BRAUN, WENDY	2007 10/04 01:27 AM		Transcription (Mongan)	CONSULTATION REPORT
<input type="checkbox"/> Benton, Peter	163709	BYRD, EUGENE	2007 10/04 01:27 AM	⚠	Lab (St. Vincentz)	HEMATOCRIT POC
<input type="checkbox"/> Benton, Peter	105072	CALDWELL, BERNARD	2007 10/04 01:27 AM		Radiology (St. Vincentz Mercy)	BONE SCAN
<input type="checkbox"/> Benton, Peter	961304	CALDWELL, BERNARD	2007 10/04 01:27 AM		Radiology (SERH)	CR TIBIA FIBULA 2 VW RT 732590 [prelim]
<input type="checkbox"/> Benton, Peter	623664	CHANDLER, CINDY	2007 10/04 01:27 AM		Radiology (St. Clare)	CT PELVIS W 72193
<input type="checkbox"/> Benton, Peter	974558	DAWSON, MICHAEL	2007 10/04 01:27 AM		Radiology (St. Vincentz Mercy)	CHEST-PA & LATERAL
<input type="checkbox"/> Benton, Peter	115859	DEKKER, ZACH	2007 10/04 01:27 AM		Radiology (St. Clare)	CR WRIST MGN 3 VW RT 73110
<input type="checkbox"/> Benton, Peter	460239	DE RAVIN, EMILIE	2007 10/04 01:27 AM	⚠	Lab (St. Francis)	STAT CBC w/DIFF
<input type="checkbox"/> Benton, Peter	972940	DIVOFF, ANDREW	2007 10/04 01:27 AM	⚠	Lab (St. Vincentz)	STAT TOTAL PROTEIN PE
<input type="checkbox"/> Benton, Peter	787240	ELY, DAVID	2007 10/04 01:27 AM		Transcription (Mongan)	HISTORY
<input type="checkbox"/> Benton, Peter	711933	FERNANDEZ, MIKKI	2007 10/04 01:27 AM		Lab (St. Vincentz)	Allocated UNIT
<input type="checkbox"/> Benton, Peter	734984	FITZPATRICK, LINDSEY	2007 10/04 01:27 AM		Radiology (St. Francis)	US PELVIS + TRANSVAGINAL [prelim]
<input type="checkbox"/> Benton, Peter	719291	GEIGER, MATTHEW	2007 10/04 01:27 AM		Face Sheet (St. Vincentz)	Patient Registration
<input type="checkbox"/> Benton, Peter	405240	GILSIG, JESSALYN	2007 10/04 01:27 AM		Radiology (St. Clare)	RF UGI W/SMALL BOWEL 74245
<input type="checkbox"/> Benton, Peter	135890	GINTER, LINDSEY	2007 10/04 01:27 AM	⚠	Lab (St. Vincentz)	STAT CBC
<input type="checkbox"/> Benton, Peter	961413	GINTER, LINDSEY	2007 10/04 01:27 AM	⚠	Lab (OHHS)	CBC/AUTO DIFF
<input type="checkbox"/> Benton, Peter	452515	GOLD, AARON	2007 10/04 01:27 AM	⚠	Lab (Mongan)	COMPREHENSIVE PROFILE
<input type="checkbox"/> Benton, Peter	453913	GOLD, AARON	2007 10/04 01:27 AM		Lab (Mongan)	AMYLASE LEVEL
<input type="checkbox"/> Benton, Peter	453513	GOLD, AARON	2007 10/04 01:27 AM		Lab (Mongan)	CREATINE PHOSPHOKINASE
<input type="checkbox"/> Benton, Peter	884090	GOODMAN, BRIAN	2007 10/04 01:27 AM		Radiology (St. Clare)	CR CHEST PA/LAT 71020
<input type="checkbox"/> Benton, Peter	979040	GRACE, MAGGIE	2007 10/04 01:27 AM	⚠	Lab (St. Vincentz)	STAT TOTAL PROTEIN PE
<input type="checkbox"/> Benton, Peter	918828	GRAUE, ZACK	2007 10/04 01:27 AM	⚠	Lab (St. Vincentz)	GLUCOSE POC
<input type="checkbox"/> Benton, Peter	257322	GRAUE, ZACK	2007 10/04 01:27 AM		Radiology (St. Clare)	MRI BRAIN w/WO CONTRAST 70553
<input type="checkbox"/> Benton, Peter	179021	GRUNBERG, GREG	2007 10/04 01:27 AM	⚠	Lab (St. Vincentz)	TOTAL PROTEIN PE
<input type="checkbox"/> Benton, Peter	627450	HANSON, AUDREY	2007 10/04 01:27 AM		Transcription (Mongan)	EEG AWAKE AND DROWSY AMPLUATE
<input type="checkbox"/> Benton, Peter	433426	HANSON, AUDREY	2007 10/04 01:27 AM		Microbiology (St. Anthony CP)	Urine Culture [prelim]
<input type="checkbox"/> Benton, Peter	120593	HAVELOCK, KIERSTEN	2007 10/04 01:27 AM		Lab (St. Vincentz)	AMNIO FL ACHI
<input type="checkbox"/> Benton, Peter	319919	HOLLOWAY, JOSH	2007 10/04 01:27 AM	⚠	Lab (St. Vincentz)	STAT CHLAM GC DNA SDA
<input type="checkbox"/> Benton, Peter	595204	HUME, DESMOND	2007 10/04 01:27 AM		Lab (Mongan)	VALPROIC ACID (DEPAKENE) LEVEL
<input type="checkbox"/> Benton, Peter	600375	HURST, LILLIAN	2007 10/04 01:27 AM		Lab (St. Francis)	Basic Metabolic Panel
<input type="checkbox"/> Benton, Peter	284620	JARRAH, SAYED	2007 10/04 01:27 AM		Radiology (St. Clare)	CT CHEST WO 71290

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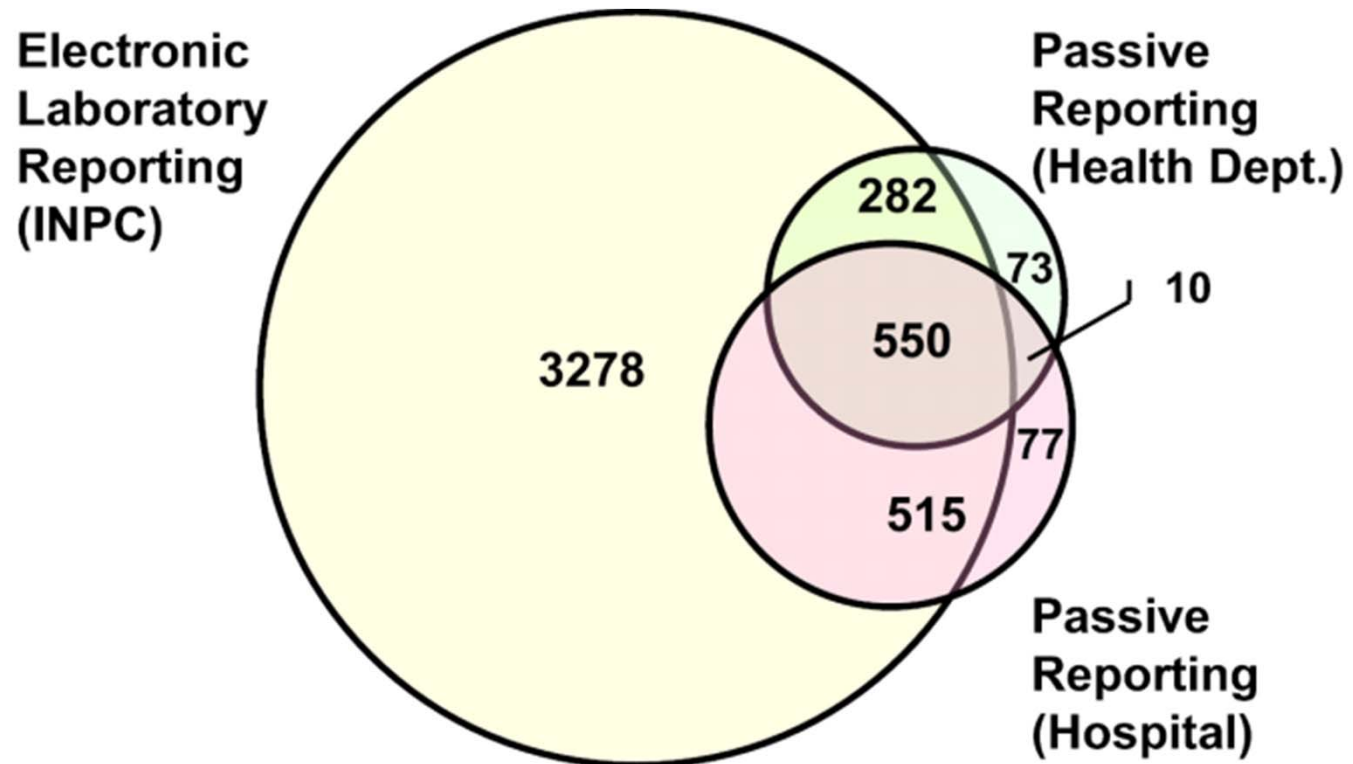


## HIE Examples → IHIE (cont.) → Quality Health First (QHF)



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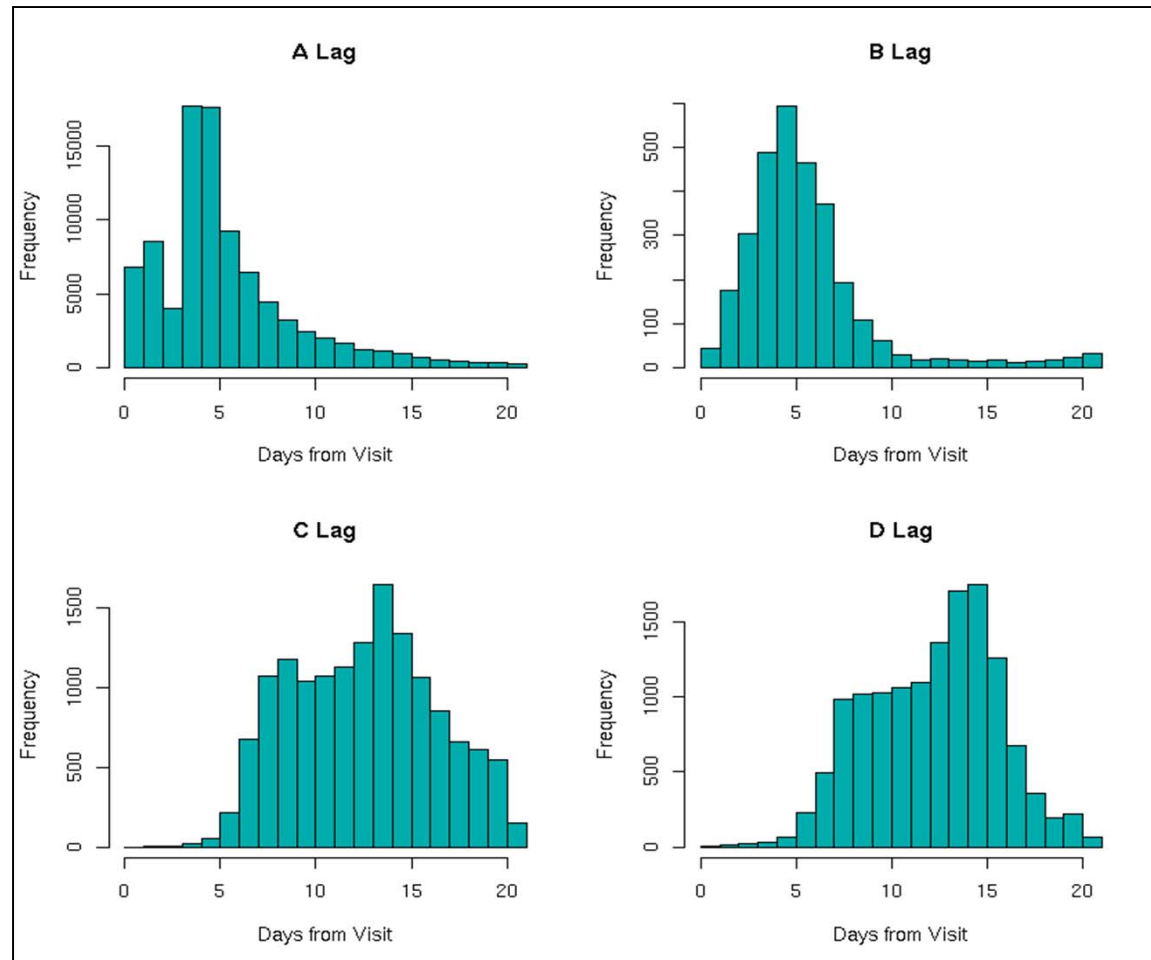
## HIE Examples → IHIE (cont.) → ELR Data Completeness



Overhage JM, Grannis S, McDonald CJ. Am J Public Health. 2008 Feb

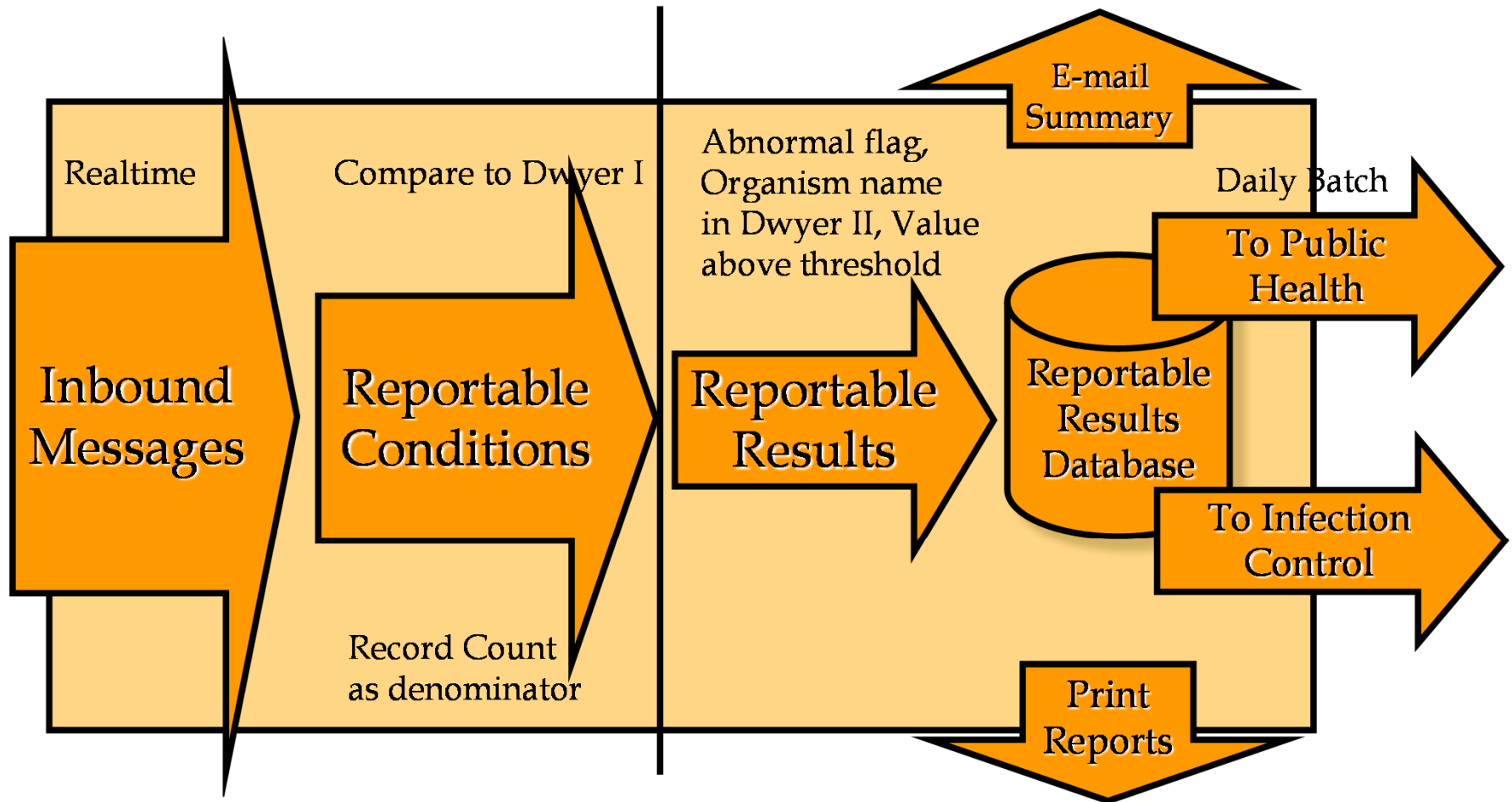
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# HIE Examples → IHIE (cont.) → Timeliness of Data (CC vs ICD9)

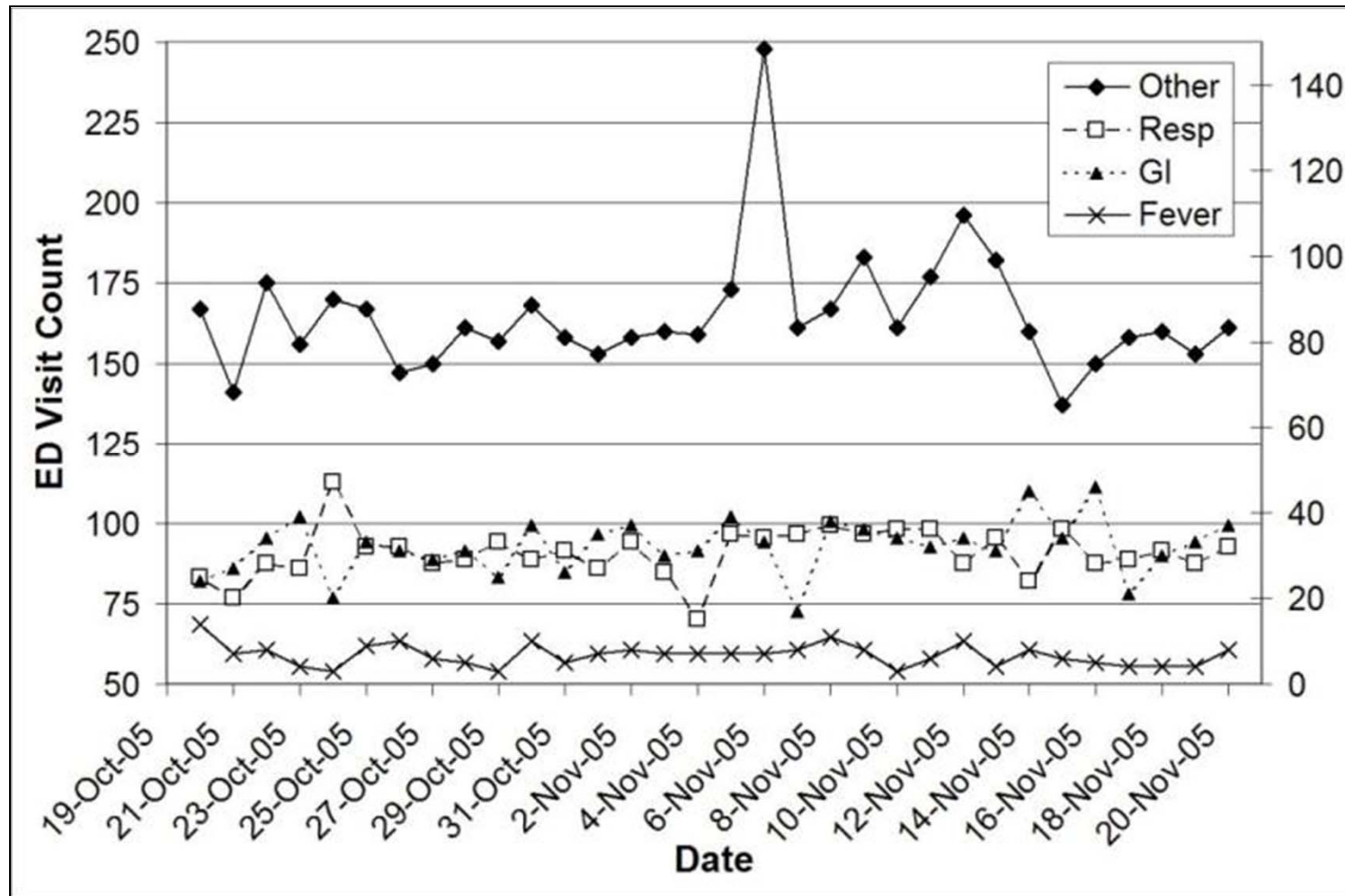


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**HIE Examples → IHIE (cont.) → Notifiable Condition Detector (NCD)**



## HIE Examples → IHIE (cont.) → Syndromic Surveillance Service (SSS)



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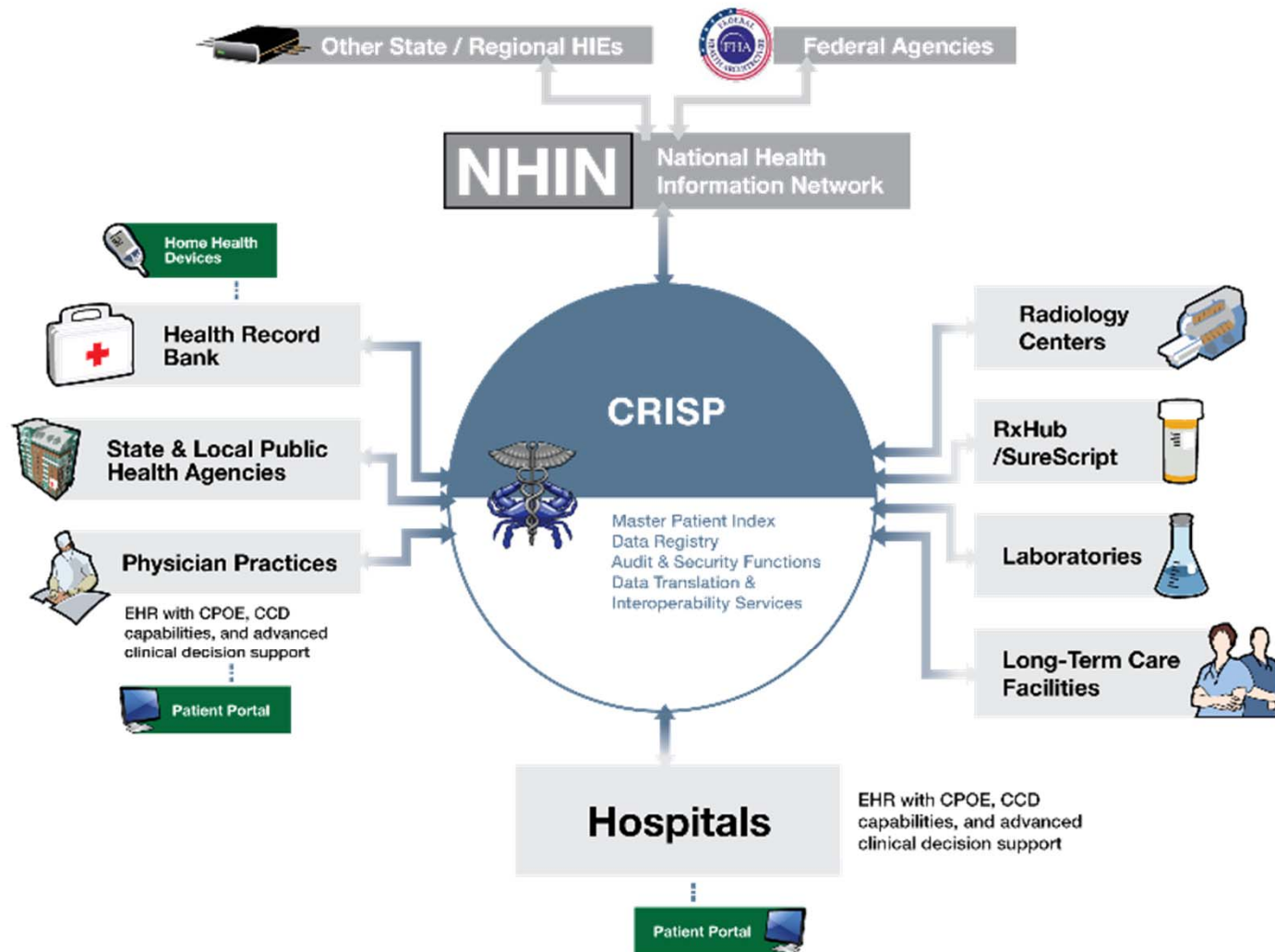
## HIE Examples → CRISP (Chesapeake Regional Info. Sys. for our Patients)

- **Mission:** To **advance the health and wellness of Marylanders** by deploying health information technology solutions adopted through cooperation and collaboration.
- **Vision:** Enable and support the Maryland healthcare community to appropriately and securely share data in order to **facilitate care, reduce costs, and improve health outcomes.**
- **Focus Areas:**
  - Query Portal Growth
  - Direct Secure Messaging
  - Encounter Notification System (ENS)
  - Encounter Reporting System (ERS)
  - Health Benefits Exchange integration



Copyright CRISP

## HIE Examples → CRISP (cont.)



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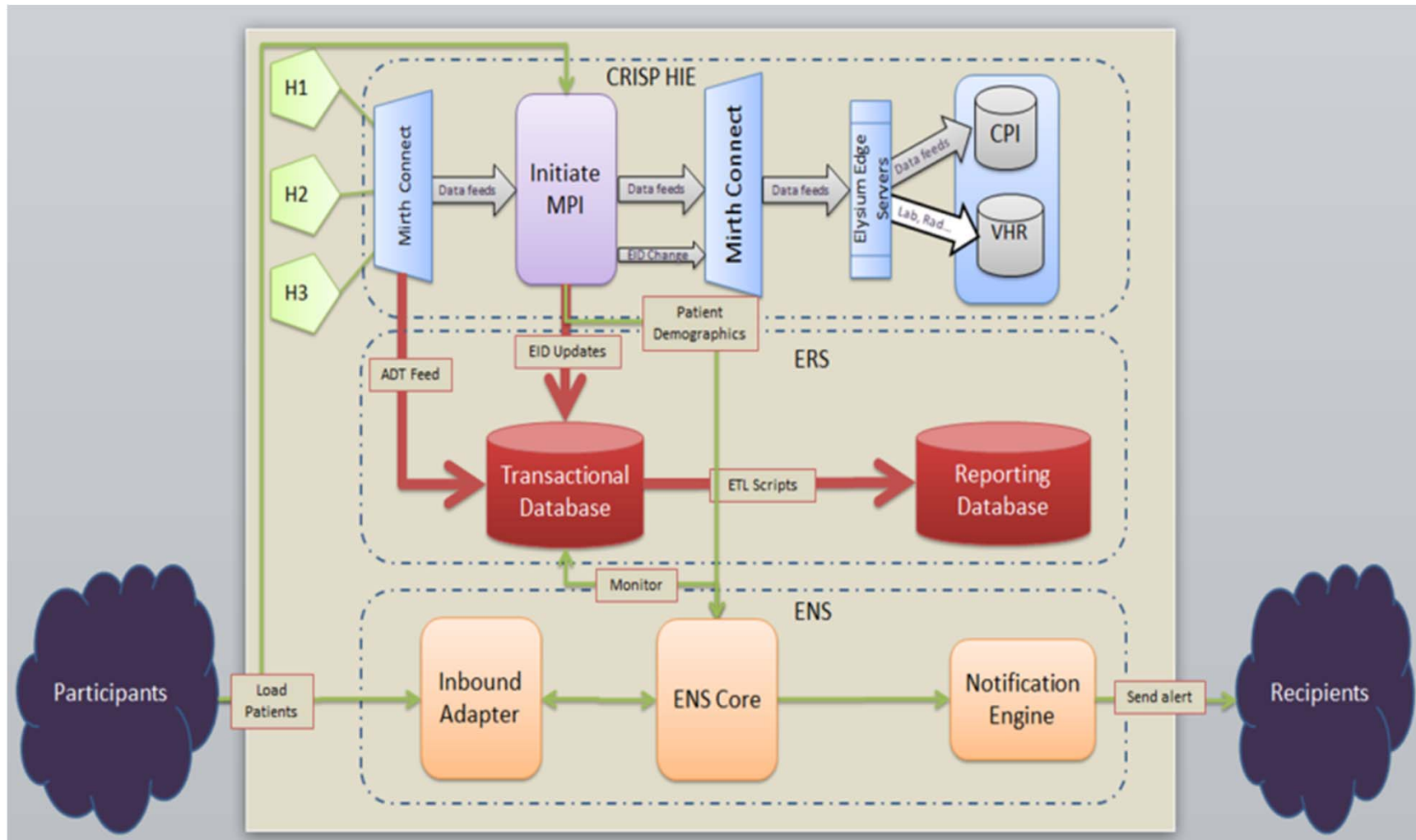
**HIE Examples → CRISP** *(cont.)*

Progress Metric	Result
<b>Organizations Live</b>	
Hospitals (Total 48)	48
Hospital Clinical Data Feeds (Total 143 - Lab, Radiology, Clinical Docs)	86
National Labs	2
Radiology Centers (Non-Hospital)	5
<b>Identities and Queries</b>	
Master Patient Index (MPI) Identities	~4M
Opt-Outs	~1500
Queries (Past 30 Days)	~3500
<b>Data Feeds Available</b>	
Lab Results	~16M
Radiology Reports	~5M
Clinical Documents	~2M

*Copyright CRISP*



## HIE Examples → CRISP (cont.)



Copyright CRISP

## HIE Examples → CRISP (cont.) → Query Portal

SMITH, John J - 01/16/1942 M Visit:  Facility:  From: 1/1/2006 To: 5/9/2011

Summary Cumulative Lab Lab Radiology Reports ADT Consent Office Memos Encounters Orders Patient Info Open Report

Lab

9/30/2006 4:18:00 PM	CBC	T Nguyen
9/30/2006 4:18:00 PM	OP PROTIVE	R Jones
9/30/2006 4:18:00 PM	TROPONIN I	J Contreras
9/30/2006 4:18:00 PM	CPK-MB F INDI	J Wallaby
9/30/2006 4:18:00 PM	BASIC PANEL-OP	R Jones

Radiology

2/13/2007 10:18:00 AM	HANDS	T Kennedy
9/30/2006 3:00:00 AM	US CARDIO ART	M Keeler
9/30/2006 3:00:00 AM	CT BRAIN W/O	T Morningstar
6/10/2006 3:00:00 AM	CHEST 2 VIEWS	J Contreras
6/5/2006 3:00:00 AM	CHEST 2 VIEWS	J Wallaby

Reports

6/15/2010	Cancellation Policy	D Pcp
6/15/2010	Cancellation Policy	D Pcp
12/19/2006 3:00:00 AM	Chart Notes	M Keeler
10/11/2006 3:00:00 AM	Chart Notes	T Morningstar
8/22/2006 3:00:00 AM	Chart Notes	T Nguyen

ADT

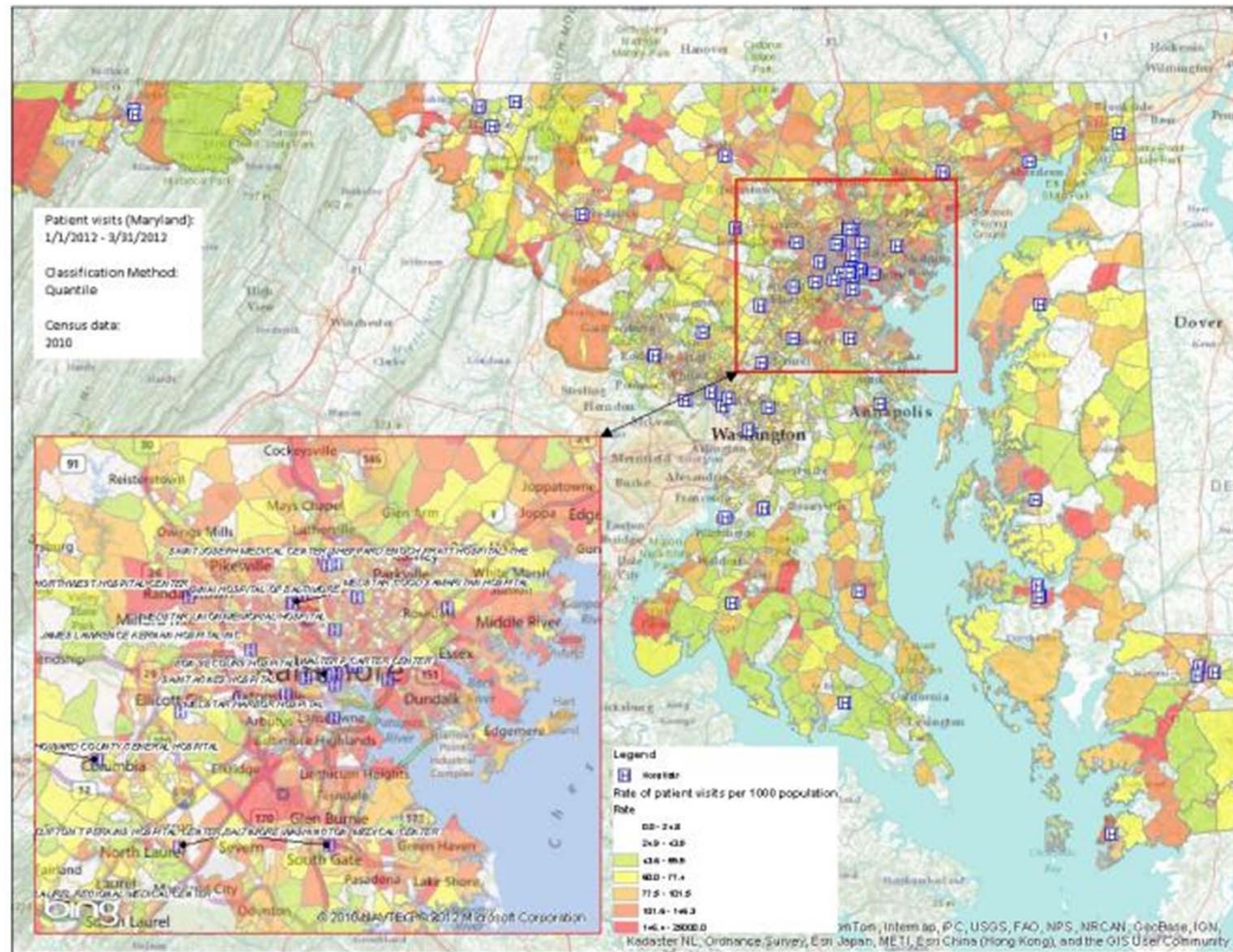
No results matched your search.

Cumulative Lab

Elements	09/30/06 04:15 PM	09/19/06 01:45 PM	08/14/06 11:35 AM	06/15/06 12:45 PM	06/07/06 11:25 AM	06/06/06 09:15 AM	06/05/06 02:02 PM	06/04/06 09:37 AM	Next
HCT	36.1	35.9		31.1		25.5	26.0	29.1	
HANDS				12			8		
RDW	13.1			12.2		12.8	14.0	12.9	
PROTEIN-TOTAL	6.3								
LDL			85		82				
ESR				30					

Copyright CRISP

# HIE Examples > CRISP (cont.) → Encounter Reporting System (ERS)



Copyright CRISP

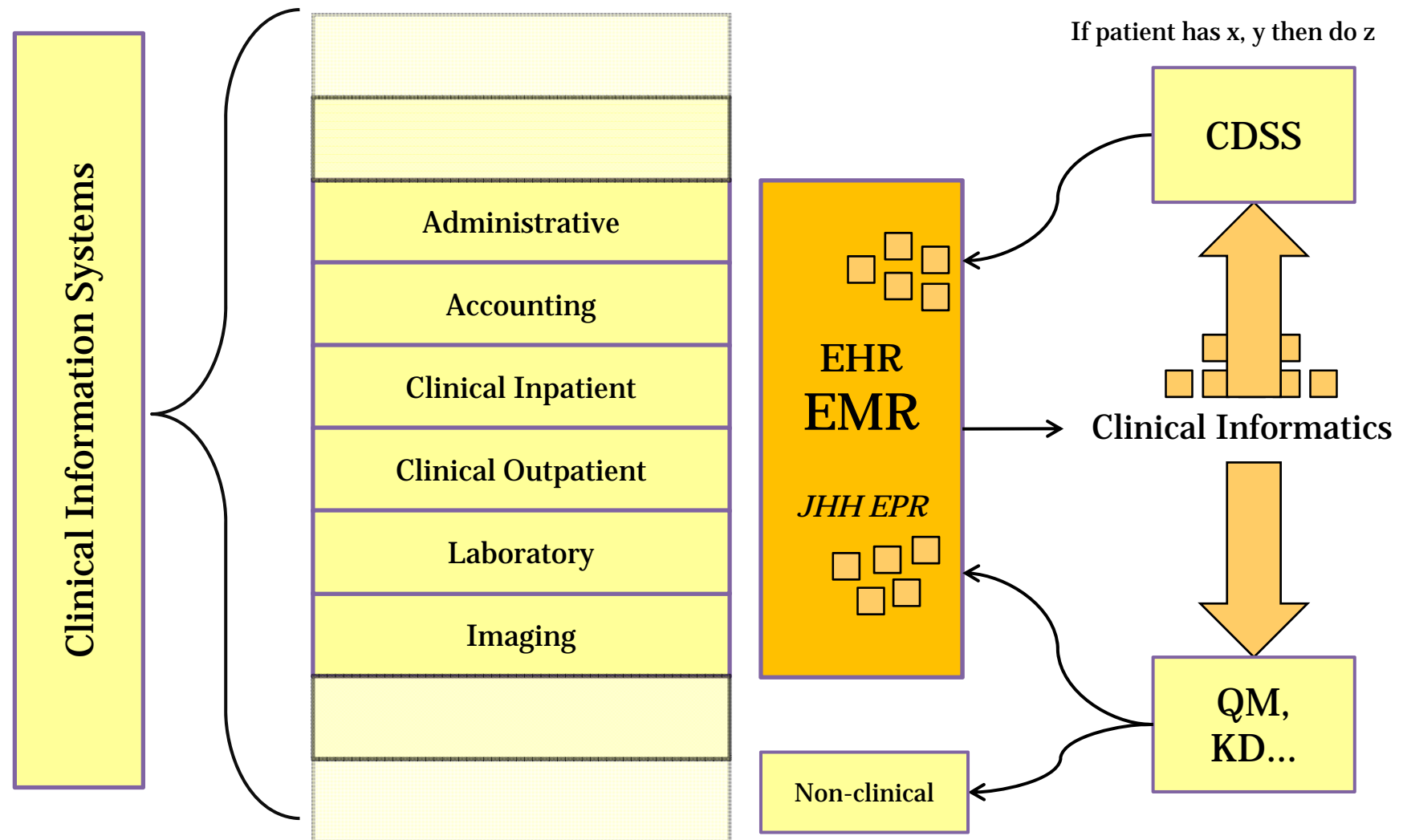


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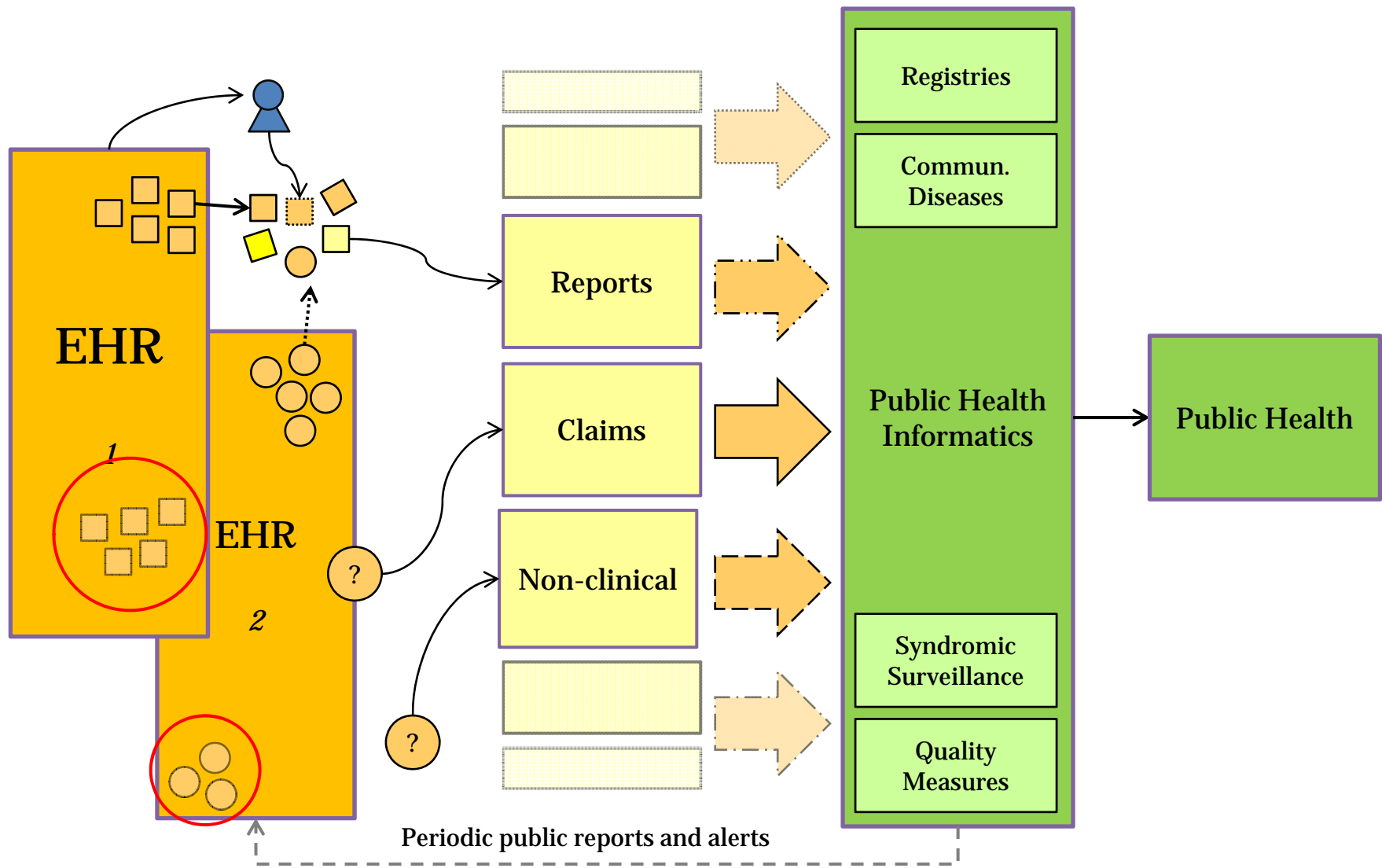
# **HIE and Population Health IT**

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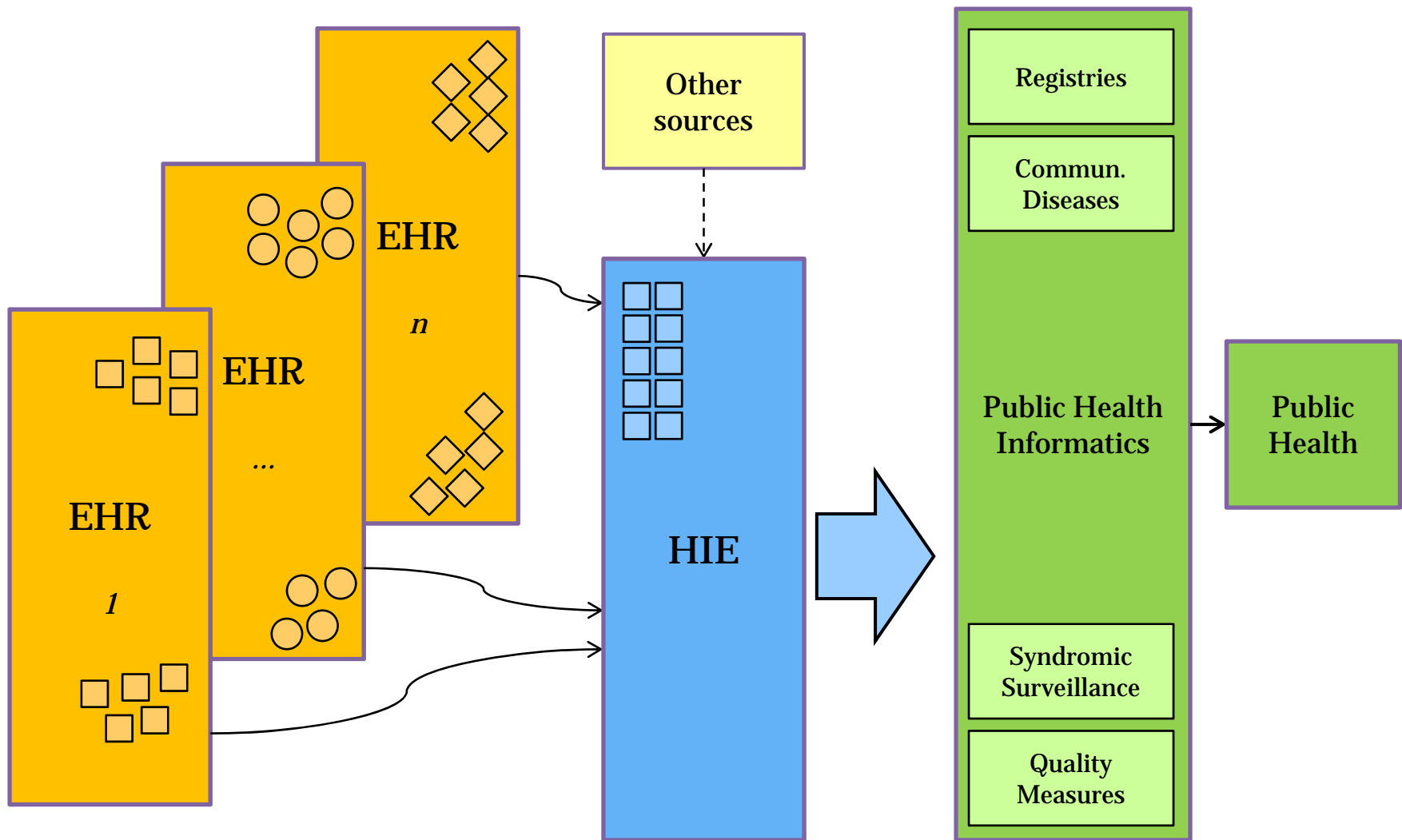
## HIE and Population HIT → Clinical Informatics



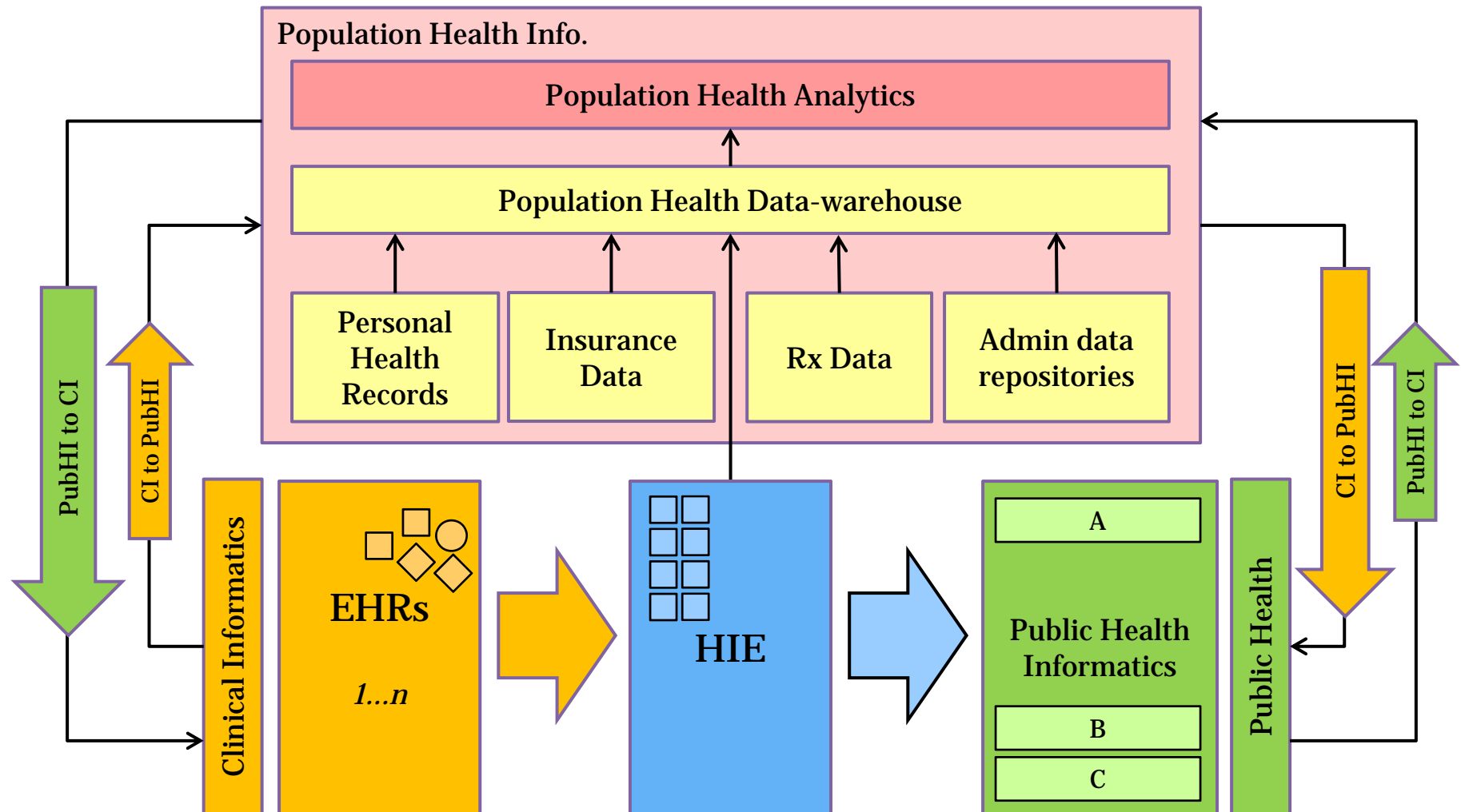
# HIE and Population HIT → Public Health Informatics



## HIE and Population HIT → HIE Role

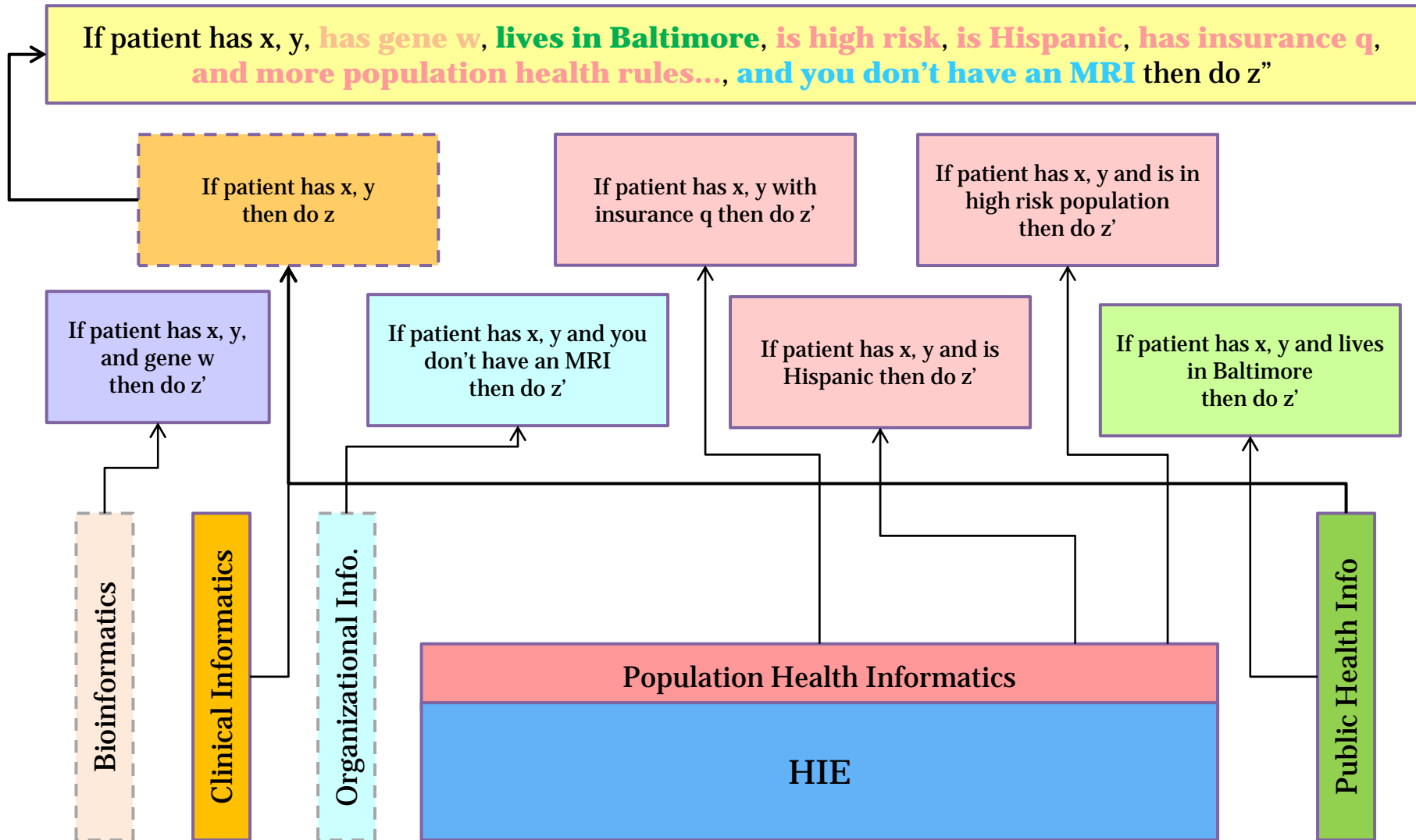


## HIE and Population HIT → Population Health Informatics

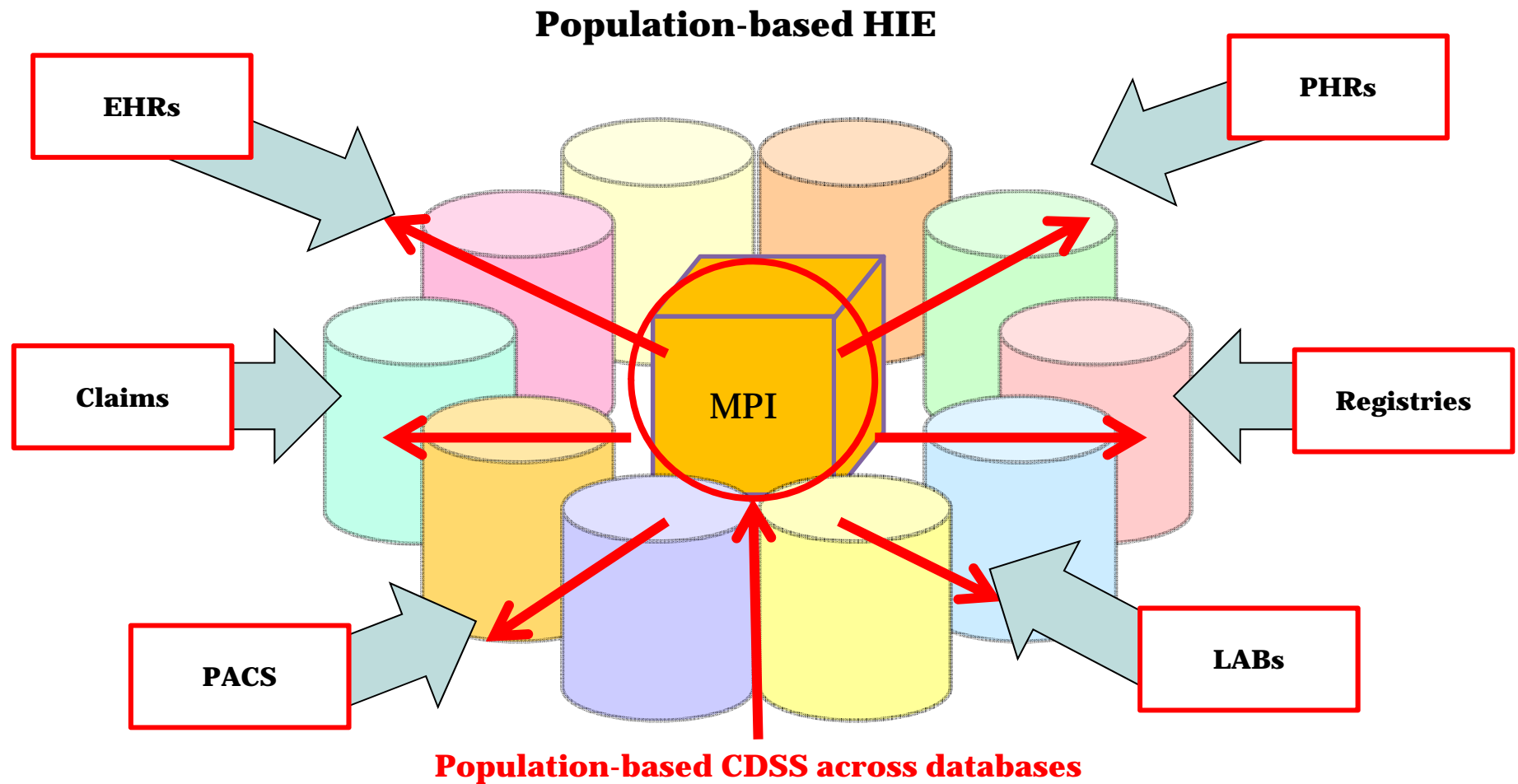




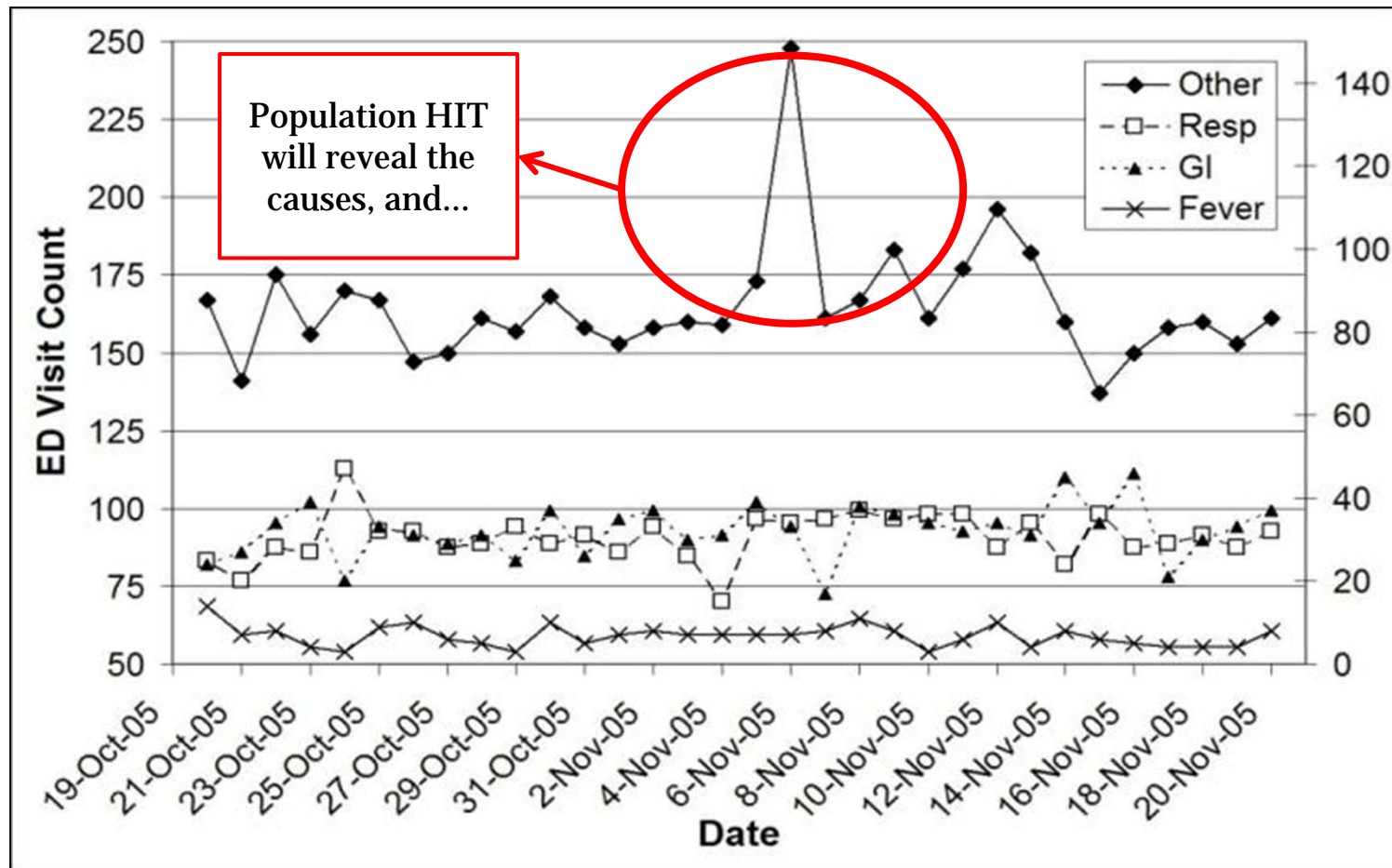
## HIE and Population HIT → Clinical Decision Support (CDS) Continuum



## HIE and Population HIT → CDS Continuum (cont.)



## HIE and Population HIT → CDS Continuum (cont.)



Simple statistics based on numerical statistics

*Consider the potentials with Population-based CDSS integration*

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## **HIE Future**

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## HIE Future

- HIE will “happen” but will seldom follow the script.
- Established entities that can provide services “on the margin” will have a competitive advantage.
- The primary barrier to competitor entry is trust.
- Many geographic HIOs will become highly-valued CHINS (version 2.0).
- Under certain payment models, providers who fail to collaborate will be at a competitive disadvantage.
- Policy may not keep up with the pace of information use; enforcement of detailed policy is problematic.
- The role of innovation is an important component in shaping the future of HIE such as “Beacon” communities.
- The complexity of the U.S. healthcare ecosystem may hinder some of the anticipated efforts to connect a variety of stakeholders.
- Standards will continue to evolve and each HIE must be resilient

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**HIE Future** *(cont.)*

- **Cost:** High infrastructure costs may accelerate **merging of HIEs** or truncating plans for a variety of HIEs in a state.
- **Political Environment:** Given the current **national debt and concerns about funding existing programs**, future federal funding may be compromised in the future.
- **Sustainability:** **Long-term sustainability** will remain a challenge for some HIEs, especially if they must support all costs, potentially without federal funds.
- **Emerging Services:** Can each HIE develop **additional services** that are emerging in a timely, cost-effective manner, as well as secure the level of adoption required to sustain emerging services?

## HIE Future *(cont.)*

### ■ Business Drivers:

- Sustainability; Adoption; Improved Care; Coordination of Care; Decreased Health Costs

### ■ Industry Drivers

- **New models:** ACOs, Care Coordination, Patient-centered Medical Homes
- Need to **access patient information** across various healthcare organizations
- Requirement to **facilitate coordination of care**
- Maintain and access **metrics** to show outcomes of patient care
- Leverage **electronic transmission** of data to payers / insurers
- Need to **automate with EHRs** to capture more data
- **Engage consumers** with services to accelerate services such as scheduling, physician communication, request for records, etc.

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## **Additional Resources**

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**Resources → Books** (upcoming)

Title	Health Information Exchange: Navigating and Managing a Network of Health Information Systems
Authors	Brian Dixon
Year	2016?
Hardcover	?
Publisher	Elsevier
Language	English
ISBN	Pending...

## Resources → Web

### ■ **Associations:**

- AMIA (American Medical Information Association): [www.amia.org](http://www.amia.org)
- IMIA (International Medical Information Association): [www.imia-medinfo.org](http://www.imia-medinfo.org)
- HIMSS (Healthcare Information and Management Systems Society): [www.himss.org](http://www.himss.org)
- Academy Health (HIT Interest Group): [www.academyhealth.org](http://www.academyhealth.org)

### ■ **Government and Non-for-profit:**

- ONC: [www.healthit.gov](http://www.healthit.gov)
- CMS MU: [www.cms.gov/Regulations-and-Guidance/Legislation/EHRIncentivePrograms](http://www.cms.gov/Regulations-and-Guidance/Legislation/EHRIncentivePrograms)
- HL7: [hl7.org](http://hl7.org)
- NLM: <https://www.nlm.nih.gov>

### ■ **Journals:**

- JAMIA (Journal of AMIA): [jamia.bmj.com](http://jamia.bmj.com)
- JMIR (Journal of Medical Internet Research): [www.jmir.org](http://www.jmir.org)
- IJMI (International Journal of Medical Informatics): [www.ijmijournal.com](http://www.ijmijournal.com)
- HIJ (Health Informatics Journal): [jhi.sagepub.com](http://jhi.sagepub.com)
- ACI (Applied Clinical Informatics): [aci.schattauer.de](http://aci.schattauer.de)

## Summary

- Introduction
- History of HIE
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